

PREA Facility Audit Report: Final

Name of Facility: Omaha Correctional Center

Facility Type: Prison / Jail

Date Interim Report Submitted: 03/24/2025

Date Final Report Submitted: 06/09/2025

Auditor Certification

The contents of this report are accurate to the best of my knowledge.



No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.



I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.



Auditor Full Name as Signed: Karen d. Murray

Date of Signature: 06/09/2025

AUDITOR INFORMATION

Auditor name: Murray, Karen

Email: kdmconsults1@gmail.com

Start Date of On-Site Audit: 02/13/2025

End Date of On-Site Audit: 02/14/2025

FACILITY INFORMATION

Facility name: Omaha Correctional Center

Facility physical address: 2323 Avenue J, Omaha , Nebraska - 68110

Facility mailing address:

Primary Contact

Name:	Allison Leos
Email Address:	allison.leos@nebraska.gov
Telephone Number:	402-522-7141

Warden/Jail Administrator/Sheriff/Director	
Name:	James Jansen
Email Address:	james.jansen@nebraska.gov
Telephone Number:	02-522-7006

Facility PREA Compliance Manager	
Name:	Rob Britten
Email Address:	rob.britten@nebraska.gov
Telephone Number:	402-522-7003
Name:	Allison Leos
Email Address:	allison.leos@nebraska.gov
Telephone Number:	402-522-7141

Facility Health Service Administrator On-site	
Name:	Craig Formanek
Email Address:	craig.formanek@nebraska.gov
Telephone Number:	402-522-7134

Facility Characteristics	
Designed facility capacity:	396
Current population of facility:	782
Average daily population for the past 12 months:	766

Has the facility been over capacity at any point in the past 12 months?	No
What is the facility's population designation?	Men/boys
In the past 12 months, which population(s) has the facility held? Select all that apply (Nonbinary describes a person who does not identify exclusively as a boy/man or a girl/woman. Some people also use this term to describe their gender expression. For definitions of "intersex" and "transgender," please see https://www.prearesourcecenter.org/standard/115-5)	
Age range of population:	18-86
Facility security levels/inmate custody levels:	min, medium-2024 as of 2025 will be all minimum
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	225
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	10
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	296

AGENCY INFORMATION	
Name of agency:	Nebraska Department of Correctional Services
Governing authority or parent agency (if applicable):	
Physical Address:	801 West Prospector Place, PO Box 94661, Lincoln, Nebraska - 68522
Mailing Address:	PO Box 94661, Lincoln, Nebraska - 68509

Telephone number:	4024712654
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Agency Chief Executive Officer Information:	
Name:	
Email Address:	
Telephone Number:	

Agency-Wide PREA Coordinator Information			
Name:	Danielle Reynolds	Email Address:	danielle.reynolds@nebraska.gov

Facility AUDIT FINDINGS	
Summary of Audit Findings	
<p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p>	
Number of standards exceeded:	
6	<ul style="list-style-type: none"> • 115.16 - Inmates with disabilities and inmates who are limited English proficient • 115.31 - Employee training • 115.33 - Inmate education • 115.42 - Use of screening information • 115.68 - Post-allegation protective custody • 115.83 - Ongoing medical and mental health care for sexual abuse victims

	and abusers
Number of standards met:	
39	
Number of standards not met:	
0	

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2025-02-13
2. End date of the onsite portion of the audit:	2025-02-14

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Agency PREA Hotline Third Party Reporting - via agency website

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	810
15. Average daily population for the past 12 months:	759
16. Number of inmate/resident/detainee housing units:	16
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

18. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	790
19. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	1
20. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	0
21. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	0
22. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	0
23. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0
24. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	0

25. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:	0
26. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:	0
27. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:	0
28. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:	0
29. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):	No text provided.
Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit	
30. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:	225
31. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	296

32. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	10
33. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:	No text provided.
INTERVIEWS	
Inmate/Resident/Detainee Interviews	
Random Inmate/Resident/Detainee Interviews	
34. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:	15
35. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)	<div> <input type="checkbox"/> Age </div> <div> <input type="checkbox"/> Race </div> <div> <input type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) </div> <div> <input type="checkbox"/> Length of time in the facility </div> <div> <input checked="" type="checkbox"/> Housing assignment </div> <div> <input type="checkbox"/> Gender </div> <div> <input type="checkbox"/> Other </div> <div> <input type="checkbox"/> None </div>
36. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?	On the first morning of the onsite review, the facility provided inmate rosters by targeted category and housing units. Once the Auditor chose the targeted inmates, random inmates were selected by as many housing unit as possible.

37. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?	<input checked="checked" type="radio"/> Yes <input type="radio"/> No
38. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	No text provided.
Targeted Inmate/Resident/Detainee Interviews	
39. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:	15
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
40. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:	1
41. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	2

42. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:	2
43. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:	0
43. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
43. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).	This Auditor did not choose inmates of this target population.
44. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:	2
45. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:	3

46. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:	2
47. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:	1
48. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:	2
49. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:	0
49. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
49. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).	The facility does not utilize segregated housing for vulnerable populations.

50. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):	No text provided.
Staff, Volunteer, and Contractor Interviews	
Random Staff Interviews	
51. Enter the total number of RANDOM STAFF who were interviewed:	12
52. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)	<div> <input type="checkbox"/> Length of tenure in the facility <input checked="" type="checkbox"/> Shift assignment <input checked="" type="checkbox"/> Work assignment <input checked="" type="checkbox"/> Rank (or equivalent) <input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken) <input type="checkbox"/> None </div>
53. Were you able to conduct the minimum number of RANDOM STAFF interviews?	<div> <input checked="" type="radio"/> Yes <input type="radio"/> No </div>
54. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	The Auditor randomly chose random staff members for each of the facilities five shifts.
Specialized Staff, Volunteers, and Contractor Interviews	
Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.	
55. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):	15

56. Were you able to interview the Agency Head?	<input checked="" type="radio"/> Yes <input type="radio"/> No
57. Were you able to interview the Warden/Facility Director/Superintendent or their designee?	<input checked="" type="radio"/> Yes <input type="radio"/> No
58. Were you able to interview the PREA Coordinator?	<input checked="" type="radio"/> Yes <input type="radio"/> No
59. Were you able to interview the PREA Compliance Manager?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

60. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- ☒ Agency contract administrator
- ☒ Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- ☐ Line staff who supervise youthful inmates (if applicable)
- ☐ Education and program staff who work with youthful inmates (if applicable)
- ☐ Medical staff
- ☐ Mental health staff
- ☒ Non-medical staff involved in cross-gender strip or visual searches
- ☒ Administrative (human resources) staff
- ☐ Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- ☒ Investigative staff responsible for conducting administrative investigations
- ☐ Investigative staff responsible for conducting criminal investigations
- ☒ Staff who perform screening for risk of victimization and abusiveness
- ☒ Staff who supervise inmates in segregated housing/residents in isolation
- ☒ Staff on the sexual abuse incident review team
- ☒ Designated staff member charged with monitoring retaliation
- ☒ First responders, both security and non-security staff
- ☒ Intake staff

	<input type="checkbox"/> Other
61. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
61. Enter the total number of VOLUNTEERS who were interviewed:	2
61. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other
62. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
62. Enter the total number of CONTRACTORS who were interviewed:	2
62. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Security/detention <input type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other
63. Provide any additional comments regarding selecting or interviewing specialized staff.	No text provided.

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

64. Did you have access to all areas of the facility?

☒ Yes

☐ No

Was the site review an active, inquiring process that included the following:

65. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

☒ Yes

☐ No

66. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?

☒ Yes

☐ No

67. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?

☒ Yes

☐ No

68. Informal conversations with staff during the site review (encouraged, not required)?

☒ Yes

☐ No

69. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).	No text provided.
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

70. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?	<input checked="" type="radio"/> Yes <input type="radio"/> No
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71. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).	No text provided.
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

72. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	10	0	10	0
Staff-on-inmate sexual abuse	2	0	2	0
Total	12	0	12	0

73. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	4	0	4	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	4	0	4	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

74. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

75. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	2	2	6	2
Staff-on-inmate sexual abuse	0	2	0	0
Total	2	4	6	2

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

76. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

77. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	0	0	4
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	4

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

78. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:

10

79. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)
Inmate-on-inmate sexual abuse investigation files	
80. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	6
81. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
82. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
Staff-on-inmate sexual abuse investigation files	
83. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	2
84. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)

85. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)
Sexual Harassment Investigation Files Selected for Review	
86. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:	2
87. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)
Inmate-on-inmate sexual harassment investigation files	
88. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	2
89. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)
90. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)

Staff-on-inmate sexual harassment investigation files

91. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:

0

92. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?

☐ Yes

☒ No

☐ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

93. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?

☐ Yes

☒ No

☐ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

94. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.

No text provided.

SUPPORT STAFF INFORMATION**DOJ-certified PREA Auditors Support Staff**

95. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

☐ Yes

☒ No

Non-certified Support Staff

96. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

☐ Yes

☒ No

AUDITING ARRANGEMENTS AND COMPENSATION

97. Who paid you to conduct this audit?

☒ The audited facility or its parent agency

☐ My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

☐ A third-party auditing entity (e.g., accreditation body, consulting firm)

☐ Other

Standards	
Auditor Overall Determination Definitions	
<ul style="list-style-type: none"> Exceeds Standard (Substantially exceeds requirement of standard) Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) Does Not Meet Standard (requires corrective actions) 	
Auditor Discussion Instructions	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Organizational Chart, dated 2023 Omaha Correctional Center Organizational Chart, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Random Inmates Targeted Inmates

3. Corporals
4. Administrative Personnel
5. PREA Compliance Manager
6. Captain / PREA Coordinator
7. Warden
8. Deputy Director of Prisons Operations / Agency Head

Through informal and formal interviews with inmates and staff, interviews with contractors and volunteers, review of inmate and personnel files, review of facility and agency protocols and a facility tour, it is evident that this facility interweaves requirements of PREA in their daily protocols. Both inmates and staff interviewed could speak to facility PREA practices and protocols being used as is described in the agency's Sexual Assault/Abuse Policy. Both the PREA Coordinator and the PREA Compliance Manager could attest to having the necessary time to complete their PREA responsibilities and facility staff and inmates were aware of who the PREA Compliance Manager was and stated she was well thought of and did a good job.

The interview with the Captain / PREA Coordinator demonstrated oversight of PREA Compliance Managers is conducted through informational emails, scheduled meetings, informational memorandums, FAQ updates, mock audits and onsite visits from PREA and Regional personnel.

Site Observation:

During the tour of the facility, yellow PREA audit notices, Report Sexual Abuse posters with internal and external reporting information were observed in hallways, administrative areas, all living units as well as phone reporting information posted near inmate phones.

During interviews and the facility tour the PREA Compliance Manager, PREA Coordinator, Assistant Warden and Warden not only stayed with the Auditor the entire day, but they were also in constant conversation with inmates awaiting interviews and inmates passing through the area. The environment was light, people were laughing and in good moods and it was obvious that administrators were well known and well liked throughout each living unit and department toured.

Interviews with inmates resulted in the following unsolicited comments regarding the facility and facility personnel.

- Feel very safe
- Inmates and staff respect me on how I identify
- PCM moved me the same day I told her I was being harassed for how I identify
- PCM does a good job responding
- Facility responds appropriately when there was an investigation
- 28 of 30 inmates stated they felt safe in the facility regarding sexual safety
- 28 of 30 inmates stated staff is respectable during pat and safety searches.

Interviews with staff resulted in the following unsolicited comments regarding the facility, facility staff and inmates.

- Our team does a good job handling PREA here – we have an open door policy – there is no malintent here
- We roll with PREA and adapt as it evolves
- We are fair, firm and consistent
- It is everyone’s responsibility to report any knowledge, suspicion, or information regarding sexual harassment, abuse and retaliation.
- We care and have concern for all inmates

(a) The Omaha Correctional Center PAQ states the agency Safe Prisons/PREA Plan mandates zero-tolerance toward all forms of sexual abuse and sexual harassment in the facility it operates and those directly under contract.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 5, section 1. A. 1., states, “NDCS will seek to enhance the prevention of prison sexual abuse/assault and sexual harassment by maintaining a zero-tolerance standard for all sexual assault/abuse and sexual harassment on and by inmates. Protecting inmates and safeguarding communities of prison sexual assault/abuse and sexual harassment is a top priority.”

(b) The Omaha Correctional Center PAQ states the agency employs or designates an upper-level, agency-wide PREA Coordinator. The position of the PREA Coordinator in the agency’s organizational structure is the PREA Captain.

	<p>The facility provided a Nebraska Department of Correctional Services Organizational Chart. The organization chart demonstrates the PREA Captain is in the agency organizational structure and reports directly to the Assistant Deputy Director Intelligence & Investigations who reports directly to the agency Director.</p> <p>(c) The Omaha Correctional Center PAQ states the facility has designated a PREA Compliance Manager. The PREA Compliance Manager has sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards.</p> <p>The facility provided a Omaha Correctional Center Organizational Chart demonstrating the Administrative Programs Officer II who serves as the PREA Compliance Manager reports directly to the Associate Warden.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.12	Contracting with other entities for the confinement of inmates
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ <p>Interviews:</p> <ol style="list-style-type: none"> Captain / PREA Coordinator Assistant Deputy Director for Classification <p>The interview with the PREA Coordinator demonstrated the agency does not currently house inmates with contracting entities; however, the agency keeps contracts in place in the event their services are needed.</p> <p>The interview with Assistant Deputy Director for Classification demonstrated contract compliance with PREA standards is monitored through providing a list of 17 areas requiring each facility to provide the requested documentation, annually.</p>

	<p>(a) The Omaha Correctional Center PAQ states the agency does not contract with private agencies for confinement services of their inmates.</p> <p>(b) The Omaha Correctional Center PAQ states all of the above contracts require the agency to monitor the contractor's compliance with PREA standards. The number of contracts referenced in 115.12 (a)-3 that do not require the agency to monitor contractor's compliance with PREA standards is zero.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.13	Supervision and monitoring
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2023 Omaha Correctional Center Annual Review of Staffing Assignment and Video Monitoring, 1.2023 Shift Supervisor Check Sheet – Unannounced Rounds Post Audit: Recommendation Photos / Work Order Information <p>Interviews:</p> <ol style="list-style-type: none"> Lieutenant Captain / PREA Coordinator Warden <p>The interview with the Lieutenant demonstrated that he conducts rounds inside all building and the exterior of areas, every day. The Lieutenant stated rounds are done at different times and different routes with different exit and entrance points. The Lieutenant stated due to some areas needing rounds twice a day he may split the rounds with the Shift Supervisor. The Lieutenant stated he engages with the population and staff during rounds to see what is going on in the units and inmate</p>

rooms. Rounds are documented in red ink in each area's logbook.

The interview with the PREA Coordinator demonstrated she meets with each Warden and PREA Compliance Manager annually in February to review and complete facility annual Staffing Assignment and Video Monitoring Plans.

The interview with the Warden demonstrated that he is aware of the staffing plan and constantly reviews the plan with the PREA Coordinator. The Warden stated the plan is his vision for the upcoming year and is monitored for staffing, population, cameras, number of inmates in one area, has a daily meeting on critical staffing, post orders, and visitors. The Warden stated if any areas of the plan are out compliance documentation of the issue and the action plan is documented in the Shift Supervisor Report.

Site Observation:

- Multiple supervisory unannounced rounds were observed in logbooks throughout the facility. Rounds are noted in red ink, at different times on each shift with the Lieutenant and or supervisory staff signature or initials.
- Cell doors in the units were left unsecure for long periods of time allowing inmates to be in areas where victims and aggressors can be isolated.

Recommendations:

Recommend areas observed during the onsite review where staff or inmates may be isolated are monitored through mirrors, cameras or staff supervision. Areas observed include the supply back area, chemical room, kitchen behind shelving, stacks in kitchen storage room be lowered so they do not block the back corner of the room, upstairs office in workshop (could perhaps use more unannounced rounds and or a camera). Facility response: Photos of the reorganization of the areas in question and work orders were provided and uploaded to the supplemental files.

(a) The Omaha Correctional Center PAQ states the agency requires the facility to develop, document and make its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing, and, where applicable, video monitoring, to protect inmates against abuse. Since August 20, 2012, or last PREA audit, whichever is later, the average daily number of inmates is 781. The average daily number of inmates on which the staffing plan was predicted is 781.

The facility provided a Omaha Correctional Center Annual Review of Staffing Assignment and Video Monitoring plan. The document documents the following components.

- a. Generally accepted adult correctional institution practice.
- b. Any judicial findings of inadequacy.
- c. Any findings of inadequacy from federal investigative bodies.
- d. Any findings of inadequacy from internal or external oversight bodies.
- e. All components of the facility's physical plant, including blind spots or areas where team members or inmates may be isolated.
- f. The composition of the resident population (i.e.-gender rations, risk/need of residents, physical size, Sexual Aggressive Behavior (SAB), Vulnerability to Victimization (VV).
- g. The number and placement of supervisory staff.
- h. Institutional programs occurring on shifts.
- i. Any applicable state or local laws, regulations or standards.
- j. The prevalence of substantiated and unsubstantiated incidents of sexual abuse.
- k. Any other relevant factors.

The plan is signed and dated by the PREA Compliance Manager, facility Warden and the agency PREA Coordinator.

(b) The Omaha Correctional Center PAQ states each time the staffing plan is not complied with, the facility documents and justifies deviations. The most common reason for deviating from the staffing plan in the past 12 months was due to staffing levels.

(c) The Omaha Correctional Center PAQ states at least once every year that the facility/agency, in collaboration with the PREA coordinator, reviews the staffing plan to whether adjustments are needed in (a) the staffing, (b) the deployment of monitoring technology, or (c) the allocation of agency/facility resources to commit to the staffing plan to ensure compliance with the staffing plan. The facility completes a staffing plan annually to ensure continued compliance with each required element of the staffing plan.

	<p>(d) The Omaha Correctional Center PAQ states the facility requires that intermediate level or higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 8, section 3., states, "Each facility shall implement a policy and practice of having intermediate-level and higher-level supervisors conduct and document unannounced rounds, on all shifts, to identify and deter team members from sexual abuse/assault and sexual harassment. Specific expectations are detailed in facility written procedures.</p> <p>Team members are strictly prohibited from alerting other team members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility.</p> <p>On an annual basis each facility compliance manager will complete an annual PREA assessment based on data from the previous calendar year. This assessment will be submitted to the captain/PREA coordinator on or before February 1st each year."</p> <p>The facility provided Shift Supervisor Check sheets demonstrating 'area check' (unannounced rounds) are documented by way of a red stamp or red ink with the supervisor's name and words-area check. Area checks are dated and timed and completed by the Warden, Deputy Warden, Captains, Lieutenants and Sergeants.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <p>1. Omaha Correctional Center PAQ</p> <p>Interviews:</p>

	<p>1. PREA Compliance Manager</p> <p>Interviews with the PREA Compliance Manager demonstrated youthful inmates are not housed at this facility.</p> <p>Site Observation:</p> <p>The facility tour, formal and informal interviews with the PREA Compliance Manager and the Warden demonstrated that youthful inmates were not housed at the facility.</p> <p>(a) The Omaha Correctional Center PAQ states the facility does not house youthful inmates.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.15	Limits to cross-gender viewing and searches
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Staff Training Academy Course Outline – Searches, dated 8.20.2024 Post Audit: NDCS Memorandum, RE: PREA Standard 115.15 and Shift Schedules, dated 3.5.2025 <p>Interviews:</p> <ol style="list-style-type: none"> Random Inmates Targeted Inmates Corporals

4. Captain / PREA Coordinator

Ten of thirty random and targeted inmate interviews demonstrated cross gender announcements were made about 60% of the time.

Interviews with Corporals demonstrated each have been trained to conduct unisex pat searches and at the time the agency as whole has one transgender inmate therefore female staff are not conducting cross-gender searches at this time.

The interview with the PREA Coordinator demonstrated the agency current practice for searching transgender inmates is performed by same gender staff as is documented on the transgender driver license and birth certificate.

Site Observation:

During the tour the search area near the visiting area was observed to be a single room for 1:1 safety searches out of line of sight of any cameras. The search area in the intake area was observed to have $\frac{3}{4}$ cubicle walls with curtains to allow for privacy. This search area was also out of sight of cameras.

Living units were observed to have a $\frac{1}{4}$ sized swinging door at the shower entrance and $\frac{3}{4}$ door at showers to allow for privacy. Cameras reviewed demonstrated only the swinging door at the entrance was visible.

Corrective Action Plan:

- Provide documented cross gender announcement training for appropriate personnel.
- Appropriate facility personnel provide a memorandum with a sustainable action plan stating which agency position will ensure all requirements of §115.15. Memorandum to be addressed to the DOJ PREA Auditor, date and author of the memorandum and standard in question.
- Upload documentation to the online audit system or provide documentation to the DOJ PREA Auditor.

Post audit the facility provided a signed shift schedules (line up) signed by facility Captains and a memorandum from the PREA Compliance Manager addressed to OCC Staff, and signed shift rosters signed by Lieutenants, stating the following. "After the

PREA Audit, it was determined female team members are not announcing when they are coming in and out of wings/shower/changing areas. Every time a female team member leaves or comes back into the wing/shower area, another announcement needs to be made.

Effective Immediately Female Team members will make announcements when:

- J3 - coming in and out of the bathroom area
- J1, J2, K - whenever they are coming in and out of the wing
- Third shift working units - announce when arrive on the unit.

2200 - 0600d: During nightly rounds, announcements do not need to be made regularly as inmates are not changing/showering, during those times. If a female is on third shift in a supervisory role and checking units, please announce yourself on the wing/shower area if there isn't a female already on the unit. Please raise your voice, enough to have those who are awake hear you.

NDCS Policy 203.11 and PREA Standard 115.15 can be reviewed if needed."

(a) Omaha Correctional Center PAQ states the facility does not conduct cross-gender strip or cross-gender visual body cavity searches of their Inmates. In the past 12 months zero cross-gender strip or cross-gender visual body cavity searches of inmates.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 8, section E. 1., states, "Team members shall not conduct cross-gender safety searches or cross-gender visual body cavity searches (meaning a search of the anal or genital opening) except in exigent circumstances or when performed by medical practitioners. All cross-gender safety searches and cross-gender visual body cavity searches will be documented in an Incident Report. The report shall be maintained by the facility PREA compliance manager.

(b) Omaha Correctional Center PAQ states this standard is not applicable as females are not housed at the Omaha Correctional Center.

(c) Omaha Correctional Center PAQ states the facility policy requires that all

cross-gender strip searches, cross-gender visual body cavity searches, and cross-gender pat-down searches be documented and justified. Policy compliance can be found in provision (a) of this standard.

(d) Omaha Correctional Center PAQ states the facility has implemented policies and procedures that enable Inmates to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks (this includes viewing via video camera). Policies and procedures require staff of the opposite gender to announce their presence when entering an inmate housing unit.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 9, section E. 3., states, "In order to enable inmates to shower, perform bodily functions and change clothing without nonmedical team members of the opposite gender viewing their breasts, buttocks or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks, all team members of the opposite gender will announce their presence when entering an inmate housing unit. Written notice to inmates shall be posted prominently in all units indicating team members of the opposite gender may be present at any time.

It is also necessary to make a verbal announcement when a team member of the opposite gender is assigned to work on an inmate housing unit or when a team member of the opposite gender enters an inmate housing unit where inmate sleeping/showering quarters are located. For example, if a female team member is assigned to a male housing unit for the duration of a shift, an announcement at the beginning of the shift is required regarding to that person's presence. When the female leaves the unit and returns an announcement of a female present must be made upon return to the unit. In addition, any time an opposite gender team member who is not assigned to that unit enters an area of the housing unit where inmate sleeping/showering quarters are located, a verbal announcement of their presence must be made. The announcement shall be made in such a manner that is most likely to be heard, such as the intercom system, and shall make it clear that a team member of the opposite gender has entered the unit (appropriate language includes but is not limited to "A male/female team member is on the floor/unit."). In the event of exigent circumstances (i.e., a medical emergency, inmate altercation, inmate/team member assault, etc.) the announcement of cross gender team members is not necessary."

(e) The Omaha Correctional Center PAQ states the facility has a policy prohibiting staff from searching or physically examining a transgender or intersex Inmate for the

sole purpose of determining the Inmate's genital status. Such searches have not occurred in the past 12 months.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 9, section E. 4., states, "NDCS shall not search or physically examine a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. If an inmate's genital status is unknown, it may be determined during conversations with the inmate, by reviewing medical records or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.

(f) The Omaha Correctional Center PAQ states 100% of security staff at each facility receive training on conducting cross-gender pat-down searches and searches of transgender and intersex Inmates in a professional and respectful manner consistent with security needs.

The facility provided a Nebraska Department of Correctional Services Staff Training Academy Course Outline - Searches. Learning objectives include the following.

1. Define contraband.
1. Explain the purpose of searches.
2. List the four (4) most common types of searches.
3. Describe professional conduct during searches.
4. Explain the importance of professional conduct during searches.
5. Identify four (4) ways to minimize the possibility of transmission of infectious disease when doing searches.
6. List, in order, the six (6) elements of a search.
7. Explain the limitations for cross-gender searches.
8. Explain the procedure for searching Official Visitors to correctional facilities.
9. Demonstrate a pat search within 45 seconds.
10. Describe when you would modify a Pat Search.
11. Define a Safety Search and list the three (3) additional elements of a safety search.
12. Identify the four (4) additional elements of an area search.

	<p>13. List additional elements of a room search.</p> <p>14. Demonstrate a Room Search / Area Search.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Language Line Services Inc Service Contract Amendment, dated 11.4.2023 – 5.3.2025 Americans with Disabilities Act (ADA) Pre-Service PowerPoint, dated 7.2021 Nebraska Department of Correctional Services Sexual Assault Awareness Brochure in English and Spanish, dated 7.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Targeted Inmates Corporals PREA Compliance Manager <p>Interviews with two LEP, three cognitive, one disabled, one blind targeted inmate demonstrated they were aware of PREA, how and who to report sexual harassment, sexual abuse and or retaliation. Inmates articulated they were read PREA information during the orientation process.</p> <p>Interviews with 12 Corporals demonstrated each were aware inmates could not be used as interpreters and the facility interpreters, or the language line would be used for LEP inmates.</p>

The interview with the PREA Compliance Manager demonstrated she reads from the PowerPoint presentation written specifically for inmate PREA education and prints a version in English and Spanish. The PREA Compliance Manager also spoke to the facility having a fulltime interpreter on staff for LEP inmates.

Site Observation:

End the Silence and Zero Tolerance postings in both English and Spanish were observed in each housing unit and inmates were able to demonstrate how PREA information could be accessed on their tablets during the tour.

(a) The Omaha Correctional Center PAQ states the agency has established procedures to provide disabled inmates equal opportunities to be provided with and learn about the agency's efforts to prevent, detect and respond to sexual abuse and sexual harassment.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 10, section F. 1., states, "Information will be provided in formats accessible to all inmates, including those who are deaf or hard of hearing, visually impaired or otherwise disabled; those who have intellectual, psychiatric or speech disabilities; as well as to inmates who have limited reading skills and who are limited English proficient."

The facility provided an Americans with Disabilities Act (ADA) Pre-Service PowerPoint. The PowerPoint includes the following learning objectives.

- The Purpose of the ADA
- NDCS Policies
- Identify who is protected?
- Major Life Activities
- What Constitutes a Disability?
- Title I - Employment
- Explain how employees request reasonable accommodations
- Explain how NDCS accommodates employee injuries and illnesses

- Title II – State and Local Public Services
- Title II – Applicability to Correctional Programs and Services
- Title II – Reasonable Modification(s)
- Explain how inmates request reasonable accommodations
- Title II – Person First Inclusive Language
- Title II – Other Considerations and Person First Language
- Conclusion

The facility provided a Nebraska Department of Correctional Services Sexual Assault Awareness Brochure in English and Spanish. The brochure includes the following information.

- Nebraska Department of Correctional Services Zero Tolerance Policy
- Incarcerated individual on Incarcerated individual or Team member on Incarcerated individual Sexual Behavior
- If you are sexually assaulted
- Self Protection
- Nebraska State Statute

(b) The Omaha Correctional Center PAQ states the agency has established procedures to provide inmates with limited English equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Policy compliance can be found in provision (a) of this standard.

(c) The Omaha Correctional Center PAQ states the agency prohibits the use of inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations. In the last 12 months the facility has had zero instances where inmates were used for interpreters.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 10, section F. 2., states, "Reasonable steps will be taken to ensure meaningful

	<p>access to information is provided to inmates who are limited English proficient, including steps to provide interpreters who can interpret effectively, accurately and impartially, both receptively and expressively, using any necessary specialized vocabulary. Reliance will not be placed on inmate interpreters, inmate readers or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety.</p> <p>The facility provided a Language Line Services Inc Service Contract Amendment. The contract appears to be current and is dated 11.4.2023 through 5.3.2025.</p> <p>Through such reviews of the facility having a full-time interpreter on staff for LEP inmates, the facility exceeds the standard requirements.</p>
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115.17	Hiring and promotion decisions
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 3. Nebraska Department of Correctional Services Personal Information for Security Check, dated 3.2024 4. Reference Check Form, dated 11.2013 5. Nebraska Department of Correctional Services, Team Member Selection Policy, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> 1. Human Resource Generalist <p>The interview with the Human Resource Generalist demonstrated that all applicants and contractors must pass a criminal history background check before having access to inmates and again during the promotion process. The Human Resource Generalist stated applicants who have been involved in a sexual harassment event are considered for employment after review from the Central Office. All applicants and</p>

contractors complete administrative adjudication questions and again during the promotion process. The Human Resource Generalist stated the agency has an affirmative duty policy and reports must be made immediately and she completes institutional reference checks on applicable applicants and past employees, when requested.

Site Observation:

By utilizing the PREA Audit Adult Prison & Jail Documentation Review Employee File/ Records template demonstrated that 18 of 18 employees, two volunteer and two contractor files reviewed had background checks upon hire and every five years, thereafter. The facility was able to demonstrate administrative adjudication questions were asked during the hiring and promotion processes and institutional references are requested and completed for applicable applicants.

(a) The Omaha Correctional Center PAQ states the agency policy prohibits hiring or promoting anyone who may have contact with inmates and prohibits enlisting the services of any contractor who may have contact with inmates who: (1) Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997); (2) Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or (3) Has been civilly or administratively adjudicated to have engaged in the activity described in paragraph (a)(2) of this section.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 10, section G., states, "NDCS shall not hire, promote or enlist the services of any contractor who may have contact with inmates who has engaged in sexual/assault in a prison, jail, lockup, community confinement facility, juvenile facility or other institution; who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, coercion or if the victim did not consent or was unable to consent; and/or who has been civilly or administratively adjudicated to have engaged in such activity. Any incidents of sexual harassment will be taken into consideration. Refer to Policy 112.03 Team Member Selection Policy, for additional details regarding the hiring and promoting processes."

(b) The Omaha Correctional Center PAQ states agency policy requires the consideration of any incidents of sexual harassment when determining to hire and or promote anyone, or to enlist services of any contractor, who may have contact with

inmates. Policy compliance can be found in provision (a) of this standard.

(c/f/h) The Omaha Correctional Center PAQ states agency policy requires that before it hires any new employees who may have contact with inmates, it (a) conducts criminal background record checks, and (b) consistent with federal, state, and local law, makes its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse. In the past 12 months, the number of persons hired who may have contact with inmates who have had criminal background record checks was 39. Policy compliance can be found in provision (a) of this standard.

(d) The Omaha Correctional Center PAQ states the agency policy requires that a criminal background records check be completed before enlisting the services of any contractor who may have contact with inmates. In the past 12 months there were 10 contracts for services where criminal background record checks were conducted on all contractors covered in the contract who might have contact with inmates. Policy compliance can be found in provision (a) of this standard.

(e) The Omaha Correctional Center PAQ states the agency requires background checks to be completed every five years.

Nebraska Department of Correctional Services, Team Member Selection Policy 112.03, page 14, section II, 4-5., state,

4. "NDCS will conduct security checks of current team members every five years.

5. Additional background checks and financial background checks may be completed for certain identified NDCS positions at the discretion of the human talent director."

(g) The Omaha Correctional Center PAQ states that agency policy states that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.

Nebraska Department of Correctional Services, Team Member Selection Policy 112.03, page 13, section 3. d., states, "Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination."

	Through such reviews, the facility meets the standard requirements.
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115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ <p>Interviews:</p> <ol style="list-style-type: none"> Warden Deputy Director of Prisons Operations / Agency Head <p>The interview with Warden demonstrated that the facility has not had any substantial expansions since the last PREA audit; however, cameras were added in a fenced area due to reported altercations not being visible behind that fence.</p> <p>The interview with the Agency Head demonstrated vulnerable inmates are housed where each can be observed under cameras, near monitoring stations and ensuring staffing patterns appropriate for supervision and technology enhances supervision.</p> <p>(a) The Omaha Correctional Center PAQ states the facility has not acquired a new facility or made substantial expansions or modifications to existing facilities since the last PREA audit.</p> <p>(b) The Omaha Correctional Center PAQ states the facility has not installed an electronic surveillance system since the last PREA audit.</p> <p>Through such reviews, the facility meets the standard requirements.</p>

115.21	Evidence protocol and forensic medical examinations
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	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none">1. Omaha Correctional Center PAQ2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.20243. Nebraska Sexual Assault Protocol, dated 20194. Nebraska Statute 81-1429.03.5. Nebraska Department of Correctional Services Memorandum, RE: Community Hospital MOU, dated 3.13.20246. Advocacy Attempt Memorandum, dated 12.12.20247. Nebraska Department of Correctional Services, Correctional Investigators' Duties and Reporting of Criminal Activities Policy 215.01, dated 12.31.2023 <p>Interviews:</p> <ol style="list-style-type: none">1. Contract Licensed Practical Nurse2. Contract Medical Aid <p>The interview with the medical staff demonstrated that both are aware of signs and symptoms and would immediately report any issues to custody staff while keeping the victim with them to ensure they are safe. The Licensed Practical Nurse stated an inmate had reported to him and the investigation process started 'rolling' right away.</p> <p>(a) The Omaha Correctional Center PAQ states the facility is responsible for conducting administrative sexual abuse investigations (including inmate-on-inmate sexual abuse or staff sexual misconduct). The agency/facility is not responsible for conducting criminal sexual abuse investigations (including inmate-on-inmate sexual abuse or staff sexual misconduct). If another agency has responsibility for conducting either administrative or criminal sexual abuse investigations, the name of the agency that has responsibility is the Nebraska State Patrol.</p> <p>(b) The Omaha Correctional Center PAQ states the protocol is not developmentally appropriate for youth. The protocol was adapted from or otherwise based on the most recent edition of the DOJ's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or</p>

similarly comprehensive and authoritative protocols developed after 2011.

The facility provided a Nebraska Medical Sexual Assault Protocol, Nebraska Attorney General's Office. The protocol speaks to the following information.

- Definitions
- Nebraska Statutes Relating to Sexual Assault
- Statute of Limitations
- Trauma Informed Care
- Nebraska Sexual Assault Kit and Reimbursement
- Evidence Collection Steps

(c) The Omaha Correctional Center PAQ states the facility offers all inmates who experience sexual abuse access to forensic medical examinations. Forensic examinations are offered at no cost to the victim. Where possible, all examinations are conducted by SAFE or SANE examiners. The number of forensic exams conducted during the past 12 months is zero.

The facility provided the Nebraska Statute 81-1429.03, which states, "The full out-of-pocket cost or expense that may be charged to a sexual assault victim in connection with a forensic medical examination shall be paid from the Sexual Assault Payment Program Cash Fund. A report of a forensic medical examination shall not be remitted to the patient or his or her insurance for payment."

The facility provided a Nebraska Department of Correctional Services Memorandum from the Captain / PREA Coordinator to the PREA Auditor, regarding the Community Hospital MOU 115.21, stating, "NDCS has not had a Memorandum of Understanding with the community hospital since 2015. This is due to the Community Hospitals that we utilize are required to have a SANE program that consists of SANE nurses that are available to conduct the SANE examinations. The State Sane Coordinator conducts the training for all Nebraska Hospitals."

(d) The Omaha Correctional Center PAQ states the facility attempts to make a victim advocate from a rape crisis center available to the victim, in person or by other means. All efforts are documented. If a rape crisis center is not available to provide victim advocate services, the facility provides a qualified staff or community

member.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 11, section I., states, "A forensic examination shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible. If SAFEs or SANEs cannot be made available, the examination can be performed by other qualified medical practitioners. This person shall be an individual who has been screened for appropriateness to serve in this role and has received education concerning sexual assault and forensic examination issues in general. The facility will document efforts to provide SAFEs or SANEs on the Facility Checklist for Incidents of Sexual Assault/Abuse.

In the event that an inmate is sent to the community hospital for a SANE examination, the facility shift supervisor will ask the inmate if they would like a victim advocate present at the hospital for support through the SANE examination. If the inmate indicates that they would like a victim advocate present, the facility shift supervisor will notify the hospital that a victim advocate was requested and will document on the Facility Checklist for Incidents of Sexual Assault/Abuse. The hospital will contact an advocate from a rape crisis center to be present. If an advocate is not available to provide victim advocate services, the facility shall make available a qualified individual from a community-based organization or qualified agency team member. This person shall be an individual who has been screened for appropriateness to serve in this role and has received education concerning sexual abuse/assault and forensic examination issues in general.

As requested by the victim, the victim advocate, qualified agency team member or qualified community-based organization staff member shall accompany and support the victim through the forensic examination process and investigatory interviews and shall provide emotional support, crisis intervention information and referrals.

If requested by the victim, he or she will be allowed to contact his or her emergency contact or an immediate family member via a team member assisted telephone call. The team member assisted call shall be no longer than 15 minutes in duration. Team members will document the call, or attempted call, on an Incident Report."

The facility provided an advocacy attempt, stating the following. "On August 14, 2024, the NDCS PREA Coordinator reached out to Voice's of Hope via email, stating the following.

	<p>"Good Moring Marla</p> <p>I know I have reached out to you in the past in regards to Voices of hope providing advocacy care for NDCS. Just reaching out to see if this is something your agency can now do. If not do you have any suggestions of who I can reach out to."</p> <p>September 20, 2024, NDCS and Voices of Hope began conversation on meeting to discuss possible advocacy care.as of November 29, 2024, NDCS has received required criteria from Voices of hope to be able to provide a hotline service. NDCS is currently reviewing these requirements."</p> <p>(e) The Omaha Correctional Center PAQ states a qualified staff or community member accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provides emotional support, crisis intervention, information and referrals. Policy compliance can be found in provision (d) of this standard.</p> <p>(f-h) The Omaha Correctional Center PAQ states the agency is responsible for investigating administrative and criminal allegations of sexual abuse.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Accreditation-Policy Manager / Investigator

The Investigator stated all allegations of sexual harassment or sexual abuse are taken seriously and investigated regardless of the source or type of allegation.

Site Observation:

The facility has received and investigated six sexual harassment and seven sexual abuse allegations in the past 12 months. Of those investigations, 10 were reviewed during the onsite review, one required law enforcement contact.

(a) The Omaha Correctional Center PAQ states the agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including inmate-on-inmate sexual abuse and staff sexual misconduct). In the past 12 months the facility has had 16 allegations of sexual abuse and sexual harassment that were received. In the past 12 months, the number of allegations resulting in an administrative investigation was 16. In the past 12 months, the number of allegations referred for criminal investigation was zero.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 11-12, section J, states, "NDCS shall ensure that an administrative or criminal investigation is completed for all allegations of sexual assault/abuse and sexual harassment, including third party and anonymous reports, in a prompt, thorough and objective manner. Any outside entities responsible for conducting administrative or criminal investigations of sexual assault/abuse and sexual harassment shall have in place a policy governing the conduct of such investigations that complies with PREA standards and shall provide training pertaining to the investigation of sexual assault/abuse and sexual harassment in confinement settings to the NDCS criminal investigators and agency investigators who conduct such investigations. When outside agencies investigate sexual assault/abuse and sexual harassment, NDCS shall cooperate with outside investigators and endeavor to remain informed about the progress of the investigation."

(b-c) The Omaha Correctional Center PAQ states the agency has policy that requires allegations of sexual abuse or harassment to be referred for investigation to an agency with the legal authority to conduct criminal investigations.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 24, section EE. 1, states, "Upon receipt of an allegation for sexual assault, sexual abuse or sexual harassment, the captain/PREA coordinator will review the report(s) of the allegation and discuss the incident with the facility warden to determine if an investigation is warranted. If the allegation is determined to be

	<p>opened for investigation, the captain/PREA coordinator will assign the investigation a case number in the Investigator's Case Management data base and forward the case log and initial reports to the PREA compliance manager at the respective facility to be assigned to a PREA investigator."</p> <p>(d) The Omaha Correctional Center PAQ states if the agency is responsible for conducting administrative or criminal investigations of alleged sexual abuse, and another state entity has that responsibility, this other entity has a policy governing how such investigations are conducted is not applicable.</p> <p>The agency policy is available on the agency website at: Search NDCS - Nebraska Department of Correctional Services.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.31	Employee training
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Staff Training Academy Course Outline, dated 1.1.2023 Nebraska Department of Correctional Services PREA Workday, Inservice Training Curriculum, not dated PREA of 2003: Information For Those With Direct And Indirect Contact With Inmates, Training Acknowledgment, not dated PREA Monthly Training Refreshers, January-December <p>Interviews:</p> <ol style="list-style-type: none"> Corporals

2. Captain / PREA Coordinator

Interviews with Corporals demonstrated each were aware of and received initial, annual and refresher PREA training. Corporals stated training is delivered to them once a year through in person in-service training, the learning management system, shift change and monthly email refreshers.

The interview with the PREA Coordinator demonstrated due to a past directive from the agency Director during the time of COVID, the agency had been completing training every 18 months through 2022. This directive has been corrected and employees have returned to annual training, with monthly refresher email notifications since January of 2022.

Site Observation:

Through utilization of the PREA Audit Adult Prison & Jail Documentation Review Employee File / Records Review template demonstrated 17 of 17 employee training files demonstrate annual training and refresher PREA Education has been completed in the past two fiscal years.

(a) The Omaha Correctional Center PAQ states the agency trains all employees who may have contact with Inmates in all required provisions of this standard.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 12-13, section K., states,

1. "Staff Training and Development will offer training (both pre-service and in-service classes) for all team members who may have contact with inmates based on the contents of this policy and its attachments. Lesson plans will be based on this policy and its attachments and the federal PREA standards and any subsequent revisions thereof.

2. Pre-service and in-service team member training will consist of the following and will include gender specific approaches to working with male and female inmates:

(a) Zero-tolerance for sexual abuse/assault and sexual harassment.

(b) Fulfilling responsibilities with regard to prevention, detection, reporting and response policies and procedures for sexual abuse/assault and sexual harassment.

(c) Inmates' right to be free from sexual abuse/assault and sexual harassment.

(d) Inmates' and staffs' rights to be free from retaliation for reporting sexual abuse/

assault and sexual harassment.

(e) Dynamics of sexual abuse/assault and sexual harassment in confinement.

(f) Common reactions of sexual abuse/assault and sexual harassment victims.

(g) Detecting and responding to signs of threatened and actual sexual abuse/assault and sexual harassment.

(h) Avoiding inappropriate relationships with inmates including sexual abuse/assault and sexual harassment.

(i) Communicating effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, and gender nonconforming inmates about sexual abuse/assault and sexual harassment.

(j) Complying with relevant laws related to mandatory reporting of sexual abuse/assault to outside authorities.

(k) Conducting cross-gender pat searches (male staff will only pat search female inmates in exigent circumstances) and searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs (Preservice and as needed)."

The facility provided a Nebraska Department of Correctional Services Staff Training Academy Course Outline. The outline includes the following learning objectives.

- Identify PREA Standards.
- Explain the NDCS zero-tolerance policy and prohibited behaviors.
- Explain how to fulfill staff responsibilities regarding prevention, detection, reporting and responding to incidents of sexual assault, sexual abuse, and sexual harassment.
- Explain the investigation process and discipline.
- Discuss fears of inmate reporting and inmate methods of reporting.
- Explain victim advocacy for the inmate population.
- Discuss how to avoid inappropriate relationships with inmates.

The facility provided a Nebraska Department of Correctional PREA Workday Inservice training curriculum. The curriculum includes the following learning objectives.

- PREA Standards
- Zero Tolerance Policy

- Prevention, Detection, Reporting
- Investigation and Discipline
- Inmate Fears and Reporting
- Inappropriate Relationships

(b) The Omaha Correctional Center PAQ states training is tailored to the unique needs and attributes and gender of Inmates at the facility. Employees who are reassigned from facilities housing the opposite gender are given additional training. Policy compliance can be found in provision (a) of this standard.

(c) The Omaha Correctional Center PAQ states between trainings the agency provides employees who may have contact with inmates with refresher information about current policies regarding sexual abuse and sexual harassment. The facility completes refresher training monthly.

The facility provided monthly PREA refresher training for each month of the year, January through December. Training includes the following topics.

- January: PREA Basics – Dynamics of Sexual Abuse in Detention – Detecting Signs of Sexual Abuse
- February: Handling Disclosures of Abuse – Responding to Victimized Incarcerated Individuals
- March: Professional Communication and Boundaries – Appropriate Interactions – Compromised Professionalism
- April: Inmate Privacy – Pat and Safety Searches
- May: Ways Incarcerated Individuals Can Report
- June: Helping Inmates Who Primarily Speak Another Language
- July: Duty to Report: Knowledge, Suspicion, or Information
- August: First Responder Duties
- September: Encouraging Inmates to Report Sexual Abuse
- November: Investigations
- December: Zero Tolerance Policy

	<p>(d) The Omaha Correctional Center PAQ states the agency documents that employees who may have contact with inmates understand the training they have received through employee signature or electronic verification.</p> <p>The facility provided a Prison Rape Elimination Act of 2003: Information for Those With Direct And Indirect Contact With Inmates, Training Acknowledgment. Through printed name and signature, employees attest to the following: I acknowledge that I have been trained on and understand the Prison Rape Elimination Act, NDCS policy and procedures and the Department's zero tolerance standard for all forms of sexual abuse. I also acknowledge that I will report any findings of sexual abuse immediately."</p> <p>Through such reviews of the agency procedures to complete monthly PREA refresher education, the facility exceeds the standard requirements.</p>
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115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Community Partner Orientation Training PowerPoint, dated 6.2023 3. Nebraska Department of Correctional Services, Volunteer Orientation Handbook, dated 6.2023 4. PREA of 2003: Information For Those With Direct And Indirect Contact With Inmates, Training Acknowledgment, not dated <p>Interviews:</p> <ol style="list-style-type: none"> 1. Contract Licensed Practical Nurse 2. Contract Medical Aid 3. Volunteer – Religious Work; Bible Study; Alpha Course for Life Skills <p>The interviews with the contractors and volunteer demonstrated each are educated</p>

annually on the agency zero tolerance policy. Each had a clear understanding of reporting requirements and would do the best they could to keep the inmate with them when he disclosed sexual harassment, sexual abuse or retaliation.

Site Observation:

Utilization of the PREA Audit Adult Prison & Jail Documentation Review Employee File / Records Review template demonstrated the contractor and volunteer had completed training on the agency zero tolerance policy in 2024 or 2025.

(a) The Omaha Correctional Center PAQ states all volunteers and contractors who have contact with Inmates have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and harassment prevention, detection, and response. There are a total of 27 medical and mental health contract staff and 296 volunteers and seven medical contractors who have contact with inmates have completed the required training.

The facility provided a Nebraska Department of Correctional Services, Community Partner Orientation Training PowerPoint with the following training components.

- The eight points of the Volunteer Pledge
- The three points of the Volunteer Waiver
- The PREA Agreement
- The 17 topics from the Volunteer Training Record.

The facility provided a Nebraska Department of Correctional Services Volunteer Orientation Handbook. Chapter 14 provides information regarding Inmate Sexual Assault/Abuse Information for Community Partners and Contractors.

(b) The Omaha Correctional Center PAQ states the level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with inmates. All volunteers and contractors who have contact with inmates have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.

(c) The Omaha Correctional Center PAQ states the agency maintains documentation confirming that the volunteers and contractors understand the

	<p>training they have received.</p> <p>The facility provided a Prison Rape Elimination Act of 2003: Information for Those With Direct And Indirect Contact With Inmates, Training Acknowledgment. Through printed name and signature, employees attest to the following: I acknowledge that I have been trained on and understand the Prison Rape Elimination Act, NDCS policy and procedures and the Department's zero tolerance standard for all forms of sexual abuse. I also acknowledge that I will report any findings of sexual abuse immediately."</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.33	Inmate education
	<p>Auditor Overall Determination: Exceeds Standard</p>
	<p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Incarcerated Individual 30-day PREA Orienting PowerPoint Presentation Nebraska Department of Corrections PREA Inmate Orientation Acknowledgement in English and Spanish, not dated <p>Interviews:</p> <ol style="list-style-type: none"> Random Inmates Targeted Inmates Unit Manager PREA Compliance Manager <p>Formal interviews with 15 random and 15 targeted inmates and six informal interviews with inmates demonstrated they were aware of PREA, and each knew of one or more ways to report internally or externally. Inmates stated they attend an</p>

orientation class within the first week of arrival and are read a PowerPoint presentation by the PREA Compliance Manager.

The interview with the Unit Manager demonstrated PREA education is provided to inmates through a handout when they arrive to the facility. The Unit Manager stated the handout is briefly read to inmates and are then asked to sign a checklist that they understand the information received.

The interview with the PREA Compliance Manager demonstrated she conducts a formal orientation with all newly admitted inmates, and or inmates who want to join her class, weekly. The PREA Compliance Manager stated she reads the inmate education PowerPoint presentation to the class and then requests each sign an acknowledgment of understanding.

Site Observation:

Utilization of the PREA Audit Adult Prison & Jail Documentation Review Inmate File / Records Review template demonstrated 17 of 30 inmates interviewed have been admitted into the program in the past 12 months. Of those 17 inmates, all had received PREA education during the orientation process.

(a) The Omaha Correctional Center PAQ states Inmate receives information at time of intake about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment. The number of inmates admitted in the past 12 months who were given this information at intake was 494.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 13-14, section M. 1-2, state,"

1. During the intake process at all NDCS facilities, inmates shall receive information explaining NDCS' zero tolerance policy regarding sexual abuse/assault, sexual harassment, and retaliation for reporting such incidents; how to report incidents or suspicions of sexual abuse/assault, sexual harassment, and retaliation for reporting such incidents; and informed of the designated facility PREA compliance manager. See Inmate Orientation Information Regarding Sexual Assault Awareness.

2. Within 30 days of intake, inmates newly assigned to NDCS shall receive comprehensive education through use of a power point/video presentation, with staff available to answer questions, regarding their right to be free from sexual assault/abuse and sexual harassment, their right to be free from retaliation for reporting such incidents and agency policies and procedures for responding to and reporting such

incidents.”

(b) The Omaha Correctional Center PAQ states the number of those inmates admitted during the past 12 months (whose length of stay in the facility was for 30 days or more) who received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake was 472. Policy compliance can be found in provision (a) of this standard.

(c) The Omaha Correctional Center PAQ states of those who were not educated during 30 days of intake, all inmates have been subsequently educated. Agency policy requires that inmates who are transferred from one facility to another be educated regarding their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents, to the extent that the policies and procedures of the new facility differ from those of the previous facility. The PAQ states, “all inmates receive education within 30 days of arrival”

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 14, section M. 3, states, “Information will be provided in formats accessible to all inmates, including those who are deaf or hard of hearing, visually impaired or otherwise disabled; those who have intellectual, psychiatric or speech disabilities; as well as to inmates who have limited reading skills and who are limited English proficient.”

The facility provided a NDCS Incarcerated Individual 30-day PREA Orienting PowerPoint Presentation. Learning objectives include the following.

- Sexual Assault Sexual Abuse Policy 203.11
- Code of Offenses
- Sexual Behavior – to include rights
- Prohibited Behaviors
- Reporting Methods
- Sexual Assault – If you have been sexually assaulted
- Community Hospital

- Advocacy Care
- Medical Care
- Mental Health Care
- PREA Screening Assessment
- Investigations
- Investigation Outcomes
- False Reporting
- Points to Remember - to include rights
- Facility / Agency Contacts

(d) The Omaha Correctional Center PAQ states Inmate PREA education is available in accessible formats for all inmates including those who are limited English proficient, deaf, visually impaired, otherwise disabled or have limited reading skills.

(e) The Omaha Correctional Center PAQ states the facility maintains documentation of inmate participation in PREA education sessions. Documentation can be found in provision (d) of this standard.

The facility provided a PREA Inmate Orientation acknowledgements in English and Spanish. Inmates attest to the following through their signature and date. "I acknowledge that I have received information regarding my rights to be free from sexual assault, sexual abuse, sexual harassment and to be free from retaliation for reporting such incidents. I have also been informed of the Nebraska Department of Correctional Services policy and procedures for responding to such incidents."

(f) The Omaha Correctional Center PAQ states the agency ensures that key information about the agency's PREA policies is continuously and readily available or visible through posters, inmate handbooks, or other written formats.

Through such reviews of the inmate PREA education PowerPoint and inmates articulating what they learned from their orientation education, the facility exceeds the standard requirements.

115.34	Specialized training: Investigations
	<p data-bbox="256 185 959 219">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 342 523 376">Document Review:</p> <ol data-bbox="256 409 1398 745" style="list-style-type: none"> <li data-bbox="256 409 783 443">1. Omaha Correctional Center PAQ <li data-bbox="256 488 1398 566">2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <li data-bbox="256 600 1342 678">3. Specialized Investigative Training: PREA Investigating Sexual Abuse in a Confinement Setting PowerPoint Presentation <li data-bbox="256 712 1002 745">4. PREA Investigator Training – OCC - Spreadsheet <p data-bbox="256 857 416 891">Interviews:</p> <ol data-bbox="256 925 938 1037" style="list-style-type: none"> <li data-bbox="256 925 938 958">1. Accreditation-Policy Manager / Investigator <li data-bbox="256 1003 627 1037">2. Criminal Investigator <p data-bbox="256 1070 1449 1149">The interview with the Investigator and personnel file review demonstrated that he had completed investigator training many times and was the facility training officer.</p> <p data-bbox="256 1261 1473 1417">The interview with the Criminal Investigator demonstrated that he had received PREA investigation training in person with the agency PREA Coordinator. The Criminal Investigator is also a certified Police Officer and is a liaison between the Nebraska Department of Correctional Services and the Nebraska State Patrol.</p> <p data-bbox="256 1529 504 1563">Site Observation:</p> <p data-bbox="256 1597 1477 1675">During the pre-audit phase training transcripts for facility investigators were uploaded to the online audit system.</p> <p data-bbox="256 1787 1430 1910">(a) The Omaha Correctional Center PAQ states the agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings.</p> <p data-bbox="256 2022 1441 2089">Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 14, section N. 1.-2., state,</p>

1. "In addition to the general training provided to all team members, investigators will receive training in conducting investigations in confinement settings, to include:
 - a. Techniques for interviewing victims of sexual assault/abuse.
 - b. Proper use of Miranda and Garrity warnings.
 - c. Sexual assault/abuse evidence collection in confinement settings.
 - d. Criteria and evidence required to substantiate a case for administrative action or prosecution referral.
2. NDCS shall maintain documentation confirming investigators have received specialized training in conducting sexual abuse/assault investigations."

(b) The facility provided a Specialized Investigative Training: PREA Investigating Sexual Abuse in a Confinement Setting PowerPoint Presentation. The course overview includes the following.

- Background of PREA
- Investigation basics
- Working with victims
- Trauma informed approach
- Interviewing
- False Reports
- Culture
- Practical exercise

(c) The Omaha Correctional Center PAQ states the agency shall maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations. The facility has 13 employees who have completed investigator training.

The facility provided a PREA Investigator Training - OCC spreadsheet demonstrating each of the facility investigators have completed the specialized investigator training. The spreadsheet provides the following.

- Employee Name
- EDC Group

	<ul style="list-style-type: none"> · Job Profile · Training Course – NDCS – PREA Investigator Training · Training Hours · Training Date <p>Through such reviews, the facility meets the standard requirements.</p>
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115.35 Specialized training: Medical and mental health care	
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 1. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 2. National PREA Resource Center Specialized Training: PREA Medical and Mental Care Standards Notification of Curriculum Utilization, dated 12.2013 3. Post Audit: Medical / Mental Health Specialized Training Spreadsheet 4. Post Audit: NDCS Memorandum, RE: §115.35 Specialized Training Medical and Mental Health Care, dated 3.14.2025 <p>Interviews:</p> <ol style="list-style-type: none"> 1. Contract Medical Aid 2. Contract Licensed Practical Nurse 3. Licensed Mental Health Practitioner <p>The interview with the contract medical personnel demonstrated that each had received initial, monthly and annual education on the agency zero tolerance policy as well as specialized education required for PREA. The interview with the mental health provider demonstrated he had received initial, monthly, and annual education on the agency zero tolerance policy; however, he did not have a memory of completing specialized education for medical and mental health services.</p>

Site Observation:

Review of the Medical Mental Health training worksheet demonstrates there are six contract and two facility medical and or mental health staff who have not completed the specialized training.

Corrective Action Plan:

- Provide documented training of specialized for mental health provider.
- Appropriate facility personnel provide a memorandum with a sustainable action plan stating which agency position will ensure all requirements of §115.35. Memorandum to be addressed to the DOJ PREA Auditor, date and author of the memorandum and standard in question.
- Upload documentation to the online audit system or provide documentation to the DOJ PREA Auditor.

Post audit the facility provided a medical / mental health specialized training spreadsheet documenting the following information for 18 medical and 14 mental health staff.

- Name
- Medical or Mental Health designation
- Specialized training completion date
- NDCS/Contracted designation

Post audit the facility provided a memorandum from the agency Medical Director addressed to the PREA Auditor stating the following corrective action plan. "NDCS has developed an on-line in-service course for all new Medical and Mental Health staff to include contracted staff. The NDCS Staff training academy will enroll all new contracted staff into the online course, as well as all new applicable state employees will complete this training. The course will be reflected on the staff members' training records. These courses include the NDCS ZERO-Tolerance Policy. This information will be tracked and monitored by the Clinical Nurse Educator.

The training requirements are outlined below:

- Specialized medical/mental health PREA course

- This must be completed one time during Health Services Pre-service by all applicable team members before service delivery contact with incarcerated individuals occurs.
 - Currently available online, each team member is automatically enrolled during pre-service.”
- (a) The Omaha Correctional Center PAQ states the agency has a policy related to the training of medical and mental health practitioners who work regularly in its facilities. The number of all medical and mental health care practitioners who work regularly at this facility who received the training required by agency policy is 32.
- Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 14-15, section O. 1., states, “
1. All full and part-time medical and mental health care practitioners to include contracted staff will receive training in the following:
 - a. Detecting and assessing signs of sexual assault/abuse and sexual harassment.
 - b. Preserving physical evidence of sexual assault/abuse.
 - c. Responding effectively and professionally to victims of sexual assault/abuse and sexual harassment.
 - d. Reporting allegations or suspicions of sexual assault/abuse and sexual harassment.”
- The facility provided the National PREA Resource Center Specialized Training: PREA Medical and Mental Care Standards Notification of Curriculum Utilization curriculum. The curriculum demonstrates the following modules are trained.
- Module 1: Detecting and Assessing Signs of Sexual Abuse and Harassment
 - Module 2: Reporting and the PREA Standards
 - Module 3: Effective and Professional Responses
 - Module 4: The Medical Forensic Examination and Forensic Evidence Preservation
- (b) The Omaha Correctional Center PAQ states their medical staff do not conduct forensic medical exams.

	<p>(c) The Omaha Correctional Center PAQ states the agency maintains documentation showing that medical and mental health practitioners have completed the required training.</p> <p>The facility provided 14 National PREA Resource Center, Specialized Medical and Mental Health Training, Certificates of Completion demonstrating each of the facility's medical and mental health staff have completed the required specialized training.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.41 Screening for risk of victimization and abusiveness	
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Identification of Potential Aggressors and Victims / Survivors Policy 201.03, dated 7.31.2024 Nebraska Inmate Case Management System PREA Assessment <p>Interviews:</p> <ol style="list-style-type: none"> Random Inmates Targeted Inmates Unit Manager Captain / PREA Coordinator <p>Interviews with 15 random and 15 targeted inmates demonstrated they remembered being asked risk screening questions related to their criminal history, prior sexual abuse, how they identified and if they had safety concerns when entering the facility. The inmates interviewed stated these questions were asked when they arrived, again by medical and mental health staff and again by their case manager within the first month of arrival.</p>

The interview with the Unit Manager demonstrated that every inmate who arrives at the facility completes a risk screening within 72 hours but typically the day they arrive. The Unit Manager stated risk screenings are conducted in a private room or sometimes in an area away from others; however, still private. The Unit Manager stated he screens for victimization, aggression, who they would be appropriate to live with and the facility absolutely does not place a vulnerable person with a high aggressive inmate. The Unit Manager stated inmates are rescreened within 30 days of arrival and if during the initial or 30 day risk screening an inmate discloses prior abuse or abusiveness he submit a mental health referral and an incident report.

The interview with the PREA Coordinator demonstrated only the diagnostic centers have access to the entire inmate risk assessment.

Site Observation:

Utilization of the PREA Audit Adult Prison & Jail Documentation Review Inmate File / Records Review template demonstrated 17 of 30 inmates interviewed have been admitted into the program in the past 12 months. Of those 17 inmates, 17 had completed risk assessments within 72 hours of intake and again within 30 days of admission into the facility.

(a) The Omaha Correctional Center PAQ states the facility has a policy that requires screening, upon admission or transfer, for risk of sexual abuse victimization or sexual abusiveness toward other Inmates.

Nebraska Department of Correctional Services, Identification of Potential Aggressors and Victims / Survivors, Policy 201.03, page 2, section 1., states, "All inmates shall be assessed during an intake screening at reception facilities and upon each transfer to another facility for their risk of being sexually assaulted, sexually abused or sexually harassed by other inmates and/or their potential to be sexually abusive or sexually harassing toward other inmates. This screening shall take place within 72 hours of arrival at the facility and be conducted using an objective screening instrument. NDCS shall implement appropriate controls on the dissemination of this information within facilities in order to ensure sensitive information is not exploited to an inmate's detriment by staff or other inmates."

(b) The Omaha Correctional Center PAQ states the number of inmates entering the facility (either through intake or transfer) within the past 12 months (whose length of stay in the facility was for 72 hours or more) who were screened for risk of sexual victimization or risk of sexually abusing other inmates with 72 hours of their entry

into the facility was 184. Policy compliance can be found in provision (a) of this standard.

(c-e) The Omaha Correctional Center PAQ states the facility conducts risk assessments by using an objective screening instrument. The facility does not house inmates solely for civil immigration purposes.

The facility provided computer screen prints of the agency PREA risk assessment.

Criminal History Check:

- Who completed the Criminal History check:
- Has the individual been previously incarcerated?
- How many times
- Is the criminal history nonviolent only?
- Number of convictions that are of a violent nature to include sexual violence?
- How many convictions of sexual violence?
- Is the current conviction sexual violence?
- How many convictions of sexual violence?
- Is there a convictions for sexual violence against a child?
- Is there a conviction for sexual violence against an adult
- NDCS history of perpetrated violence for sexual assault/abuse and or harassment (Misconduct reports) explain

Inmate questions:

- Have you been previously incarcerated?
- How many times?
- Number of convictions that are of a violent nature include sexual violence?
- How may convictions for sexual violence?
- How many convictions for sexual violence against a child?

- How many convictions for sexual violence against an adult?

Gender/Sexuality Questions

- What is your gender Identity?
- What are your preferred pronouns?
- Do you want a separate shower time?
- What is your sexual orientation?
- How do others perceive your sexual orientation?

Physical Characteristics

- Age of individual
- Height of individual
- Weight of Individual
- Build of Individual

Sexual Abuse Survival

- Are you willing to tell me if you've ever been a survivor/victim of sexual assault or abuse?
- Are you survivor of sex assault/abuse?
- Can you tell me if the sexual assault/abuse happened in: an institution/ the community?

Mental health referral completed?

Personal Fears

- Do you have any fears for your safety while incarcerated?
- What are those fears?
- Inmate fears include sexual assault

Medical, Developmental or Mental Health

- Do you believe you have any medical, developmental, or mental health conditions?
- What are your medical, developmental, or mental health condition?
- Does this health problem, or condition limit your daily activities?
- Do you want to talk to a medical/mental health staff member regarding PREA and your safety?
- Medical/mental health referral completed?

NICaMS provides final scores for the risk assessment to include the following.

- Victimization Total
- High Priority Victimization Total
- Aggressor Total
- High Priority Aggressor Total

(f) The Omaha Correctional Center PAQ states the policy requires that the facility reassess each inmate's risk of victimization or abusiveness within a set time period, not to exceed 30 days after the inmate's arrival at the facility, based upon any additional, relevant information received by the facility since the intake screening. The number of inmates entering the facility (either through intake or transfer) within the past 12 months (whose length of stay in the facility was for 30 days or more) who were reassessed for their risk of sexual victimization or of being sexually abusive with 30 days after their arrival at the facility based upon any additional relevant information received since intake was 472. Policy compliance can be found in provision (a) of this standard.

(g) The Omaha Correctional Center PAQ states the policy requires that an inmate's risk level be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness. Policy compliance can be found in provision (a) of this standard.

(h) The Omaha Correctional Center PAQ states the policy prohibits disciplining inmates for refusing to answer (or for not disclosing complete information related to) questions regarding: (a) whether or not the inmate has a mental, physical, or

	<p>developmental disability; (b) whether or not the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming; (c) whether or not the inmate has previously experienced sexual victimization; and (d) the inmate's own perception of vulnerability.</p> <p>Nebraska Department of Correctional Services, Identification of Potential Aggressors and Victims / Survivors, Policy 201.03, page 5, second paragraph., states, "Inmates may not be disciplined for refusing to answer or not disclosing complete information in response to the aforementioned questions."</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.42	Use of screening information
	<p>Auditor Overall Determination: Exceeds Standard</p>
	<p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Targeted Inmates PREA Compliance Manager Captain / PREA Coordinator Warden <p>Interviews with two high risk for victimization, three cognitively delayed, one bisexual, one blind, two transgender and two inmates who reported sexually harassment or sexual abuse demonstrated each felt safe in the facility, complimented the facility staff for placing them in housing areas where they are respected, have peer inmates who help to guide and help them through the facility and are happy with where they are placed in their housing units and work or school placements. Transgender inmates stated they were not offered an individual shower time; however, each felt safe when showering as all showers are individual.</p>

The interview with the Warden and the PREA Compliance Manager demonstrated that risk assessment outcomes depicts if inmates are placed in two-man cells, or eight-man cells and vulnerable inmates are never placed in the same cell with aggressive inmates. Vulnerable inmates will start off in a two-man cell and are monitored through their case plan to insure they are housed appropriately; monitoring of their job placements and are given a peer facilitator in class. Transgender and intersex inmates are assessed twice a year and the safety consideration for all inmates are taken into consideration. The Warden stated we are always looking around during rounds and talking with inmates, so they know who we are and that we are available. Transgender and intersex inmates are not offered separate shower time as all showers are single showers.

The interview with the PREA Coordinator demonstrated that quarterly gender dysphoria meetings take place with facilities to discuss transgender and intersex inmates coming into and transferring within programs to ensure their needs are met. In addition, the PREA Coordinator reviews all six-month reviews once those reviews are completed by designated facility personnel.

(a) The Omaha Correctional Center PAQ states the facility uses information from the risk screening required by §115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 15, section Q. 1., states, "Facilities will utilize information from the risk screening to inform housing, bed, work, education and program assignments with the goal of keeping those inmates who are at high risk of being sexually victimized from those who are at high risk of being sexually abusive. The institution's physical plant, staffing levels, size, and number of programs and services, as well as activity schedules, will determine the extent to which separation is possible or contacts minimized. Computer tracking of high-risk inmates within an institution may be utilized. Facilities will make individualized determinations about how to ensure the safety of each inmate."

(b) The Omaha Correctional Center PAQ states the agency/facility makes individualized determinations about how to ensure the safety of each inmate. Policy compliance can be found in provision (a) of this standard.

	<p>(c-d) The Omaha Correctional Center PAQ states the agency/facility makes housing and program assignments for transgender, or intersex inmates in the facility on a case-by-case bases.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 15-16, section Q. 2., states, "In deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, and in making other housing and programming assignments, NDCS shall consider on a case-by-case basis whether a placement would ensure the inmates health and safety, and whether the placement would present management or security problems."</p> <p>Through such reviews of the care and concern for the placement of all vulnerable persons throughout the programmatic day and each vulnerable stating they felt safe in the facility and were happy with their housing unit and cellmates, the facility exceeds the standard requirements.</p>
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115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Warden <p>The interview with the Warden demonstrated protective custody is only utilized if there is an immediate safety concern; however, he could not think of a time protective custody was needed in the past year. The Warden stated he would ensure victims are kept separate from their perpetrators by putting limitations in the inmate contact notes for a record of the limitations.</p> <p>(a) The Omaha Correctional Center PAQ states the agency has a policy prohibiting the placement of inmates at high risk for sexual victimization in involuntary</p>

	<p>segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is not available alternative means of separation from likely abusers. The number of inmates at risk of sexual victimization who were held in involuntary segregated housing the past 12 months for one to 24 hours awaiting completion of assessment was zero.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 16, section R. 1., states, "Inmates at high risk for sexual victimization and those alleged to have suffered sexual assault/abuse shall not be placed in involuntary restrictive housing unless an assessment of all available alternatives has been conducted and a determination has been made there is no available alternative means of separation from likely abusers.</p> <p>If unable to conduct this assessment immediately, the inmate may be held in involuntary restrictive housing (immediate segregation) for less than 24 hours while the assessment is completed."</p> <p>(c) The Omaha Correctional Center PAQ states the number of inmates at risk of sexual victimization who were assigned to involuntary segregated housing in the past 12 months, for longer than 30 days while awaiting alternative placement was zero.</p> <p>(d) The Omaha Correctional Center PAQ states from a review of case files of inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months, the number of case files that include BOTH (a) a statement of the basis for facilities concerns for the inmate's safety, and (b) the reason or reason why alternative means of separation could not be arranged was zero.</p> <p>(e) The Omaha Correctional Center PAQ states if an involuntary segregated housing assignment is made, the facility affords each such inmate a review every 30 days to determine whether there is a continuing need for separation from the general population.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard

Auditor Discussion

Document Review:

1. Omaha Correctional Center PAQ
2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024
3. Nebraska Department of Correctional Services, Immigration Memorandum, dated 10.29.2024
4. NDCS Staff Reporting Training Slides 95 & 97
5. NDCS Zero Tolerance Posting

Interviews:

1. Random Inmates
2. Targeted Inmates
3. Corporals
4. Mail Material Specialist

Formal interviews with 15 random, 15 targeted, and nine informal interviews with inmates demonstrated that each was aware of reporting options available to them. Inmates interviewed stated they could report through their tablets where they receive many PREA notifications, writing a kite, talking with the PREA Compliance Manager or staff they trust, calling the Ombudsman or the PREA hotline and being confidential, filling out a grievance on their tablet or completing an inmate request form or telling a trusted adult in the community who could report for them.

Interviews with Corporals demonstrated they would accept and report any type of allegation received regardless of the source of the allegation.

Site Observations:

During the tour the PREA hotline was tested from offender phones and the call was immediately connected. A message was left on the voicemail and the PREA Coordinator was able to demonstrate the message came to her cell phone.

During the tour the mailroom was observed to be manned with two staff who were

able to state legal mail is logged mailed in and out of the facility which is signed by both inmates and staff. Staff open mail in front of inmates then copied and inmates are given the copy. The Mail Material Specialist stated only herself, the Office Technician, Records Manager and Shift Supervisor have keys to the mailroom.

During the tour, the records room was observed to have three staff members. The records room is in the back of the Administration Office and the room is locked when not occupied. Inmate jackets contain PREA education documentation and risk assessments are electronic in the agency NiCAMS database.

(a) The Omaha Correctional Center PAQ states the agency has established procedures allowing for multiple internal ways for inmates to report privately to agency officials about: (a) sexual abuse or sexual harassment; (b) retaliation by other inmates or staff for reporting sexual abuse and sexual harassment; and (c) staff neglect or violation of responsibilities that may have contributed to such incidents.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 17, section S. 1., states, "Inmates shall be provided with multiple ways to privately report sexual assault/abuse, sexual harassment, and retaliation by other inmates or staff for reporting sexual assault/abuse, sexual harassment, staff neglect and/or violation of responsibilities that may have contributed to such incidents.

a. Inmates may verbally report the incident to any staff member.

b. Inmates may utilize the established hotline number (855-623-7360) to report an allegation anonymously. This number shall be included on all inmates Inmate Calling System (ICS) automatically. The number is a generic pin for all inmates 0-111-111-111 and speed dial 08#. These calls shall not be subject to monitoring. Upon receipt of a voice message, a system generated email is forwarded to the captain/PREA coordinator. See How To Dial Hotlines (Attachment C) for directions on dialing the PREA Hotline.

c. Inmates may report the incident in writing on an Inmate Interview Request or Grievance form."

(b) The Omaha Correctional Center PAQ states facility provides at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency. The agency has a policy requiring inmates detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security. Policy compliance can be found in provision (a) of this standard. The PAQ states, "the inmate population can contact the Ombudsman's office if they chose too. the

Ombudsman's office is set up as a speed dial on the inmates calling system."

The facility provided a memorandum regarding 'reporting methods for inmates detained solely for immigration', stating, "The Nebraska Department of Correctional Services does not house or detain inmates solely for the purpose of civil immigration. All inmates are sentenced before placement in NDCS custody. NDCS does house county safe keepers that come from county jails they are placed in intake/reception due to the needs of a 90 day mental health evaluation or behavior concerns while in county custody."

(c) The Omaha Correctional Center PAQ states the agency has a policy mandating that staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties. Staff are required to document verbal reports.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 18, section 17. 4., states, "Staff will accept all reports made verbally, in writing, anonymously, from other inmates and from third parties outside NDCS. Verbal reports will be promptly documented.

(d) The Omaha Correctional Center PAQ states the agency has established procedures for staff to privately report sexual abuse and sexual harassment of inmates.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 18, section 17. 4., states, "Staff have the option of privately reporting sexual assault/abuse and sexual harassment of inmates and retaliation against inmates and staff by directly notifying the captain/PREA coordinator (402-479-5660) or utilizing the established hotline number (855-623-7360)."

The facility provided slides 95 and 97 from the agency PREA training PowerPoint demonstrating employees are provided internal ways to report and a hotline number of 855.623.7360 as well as information on affirmative duty.

The facility provided an NDCS Zero Tolerance posting providing information on the following.

	<ul style="list-style-type: none"> How to Report How to Contact the PREA Hotline Posting includes phone numbers to the PREA Hotline <p>Through such reviews, the facility meets the standard requirements.</p>
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115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Random Inmates Targeted Inmates PREA Compliance Manager <p>Interviews with 15 random and 15 targeted inmates demonstrated that they were aware grievances could be filed through their tablets.</p> <p>The interview with the PREA Compliance Manager demonstrated that grievances are filed through inmate tablets and those grievances are forwarded to herself and the PREA Coordinator who monitors each PREA grievance. Grievances filed through the inmate tablet are automatically recorded into a database which provides information on the grievance from submission to resolution.</p> <p>Site Observation:</p> <p>During the onsite review the agency grievance database was reviewed, and the facility was able to demonstrate the database tracks the inmate's name, date, time,</p>

details, actions taken and when grievances are closed.

(a) The Omaha Correctional Center PAQ states the agency has an administrative procedure for dealing with Inmate grievances regarding sexual abuse.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 18, section T., states, "Inmates will not be disciplined for filing a grievance related to alleged sexual assault/abuse or sexual harassment unless the facility demonstrates the grievance was deliberately filed in bad faith.

NDCS does not impose a time limit on when an inmate may submit a grievance regarding an allegation of sexual assault/abuse or sexual harassment. Applicable time limits to any portion of a grievance that does not allege an incident of sexual assault/abuse or sexual harassment will be followed per policy.

An inmate is not required to use any informal grievance process (i.e., an inmate may submit a Step I Grievance without an Informal Grievance attached) or otherwise attempt to resolve an alleged incident of sexual assault/abuse or sexual harassment with staff. An inmate who alleges sexual assault/abuse or sexual harassment may file a grievance without submitting it to a staff member who is the subject of the complaint. A grievance will not be referred to a staff member who is the subject of the complaint.

A final response to the grievance will be issued within 90 days of the initial filing. This does not include time consumed by inmates preparing an administrative appeal. An extension of up to 70 days may be requested if there is insufficient time to make an appropriate decision. Inmates will be notified in writing of the extension and be provided a date by which the decision will be made. If the inmate does not receive a response or notification of an extension, he or she may consider the absence of a response to be a denial at that level.

An inmate may also file an emergency grievance if he or she feels there is a substantial risk of imminent sexual abuse/assault.

The emergency grievance will immediately be forwarded to the shift supervisor, who will take immediate corrective action. An initial response shall be provided within 24 hours and a final decision will be issued within five calendar days. The initial response

and final decision will serve as documentation determining whether the inmate is at substantial risk of imminent sexual abuse/assault and the action taken in response to the emergency grievance.”

(b) The Omaha Correctional Center PAQ states agency policy or procedure allows an inmate to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred. Policy compliance can be found in provision (a) in this standard.

(c) The Omaha Correctional Center PAQ states the agency’s policy and procedure allows an inmate to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint. The agency’s policy and procedure require that an inmate grievance alleging sexual abuse not be referred to the staff member who is the subject of the complaint. Policy compliance can be found in provision (a) in this standard.

(d) The Omaha Correctional Center PAQ states the agency’s policy and procedures that require a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance. In the past 12 months there have been eight grievances filed alleging sexual abuse. In the past 12 months, the number of grievances alleging sexual abuse that reached final decision within 90 days after being filed was eight. In the past 12 months, the number of grievances alleging sexual abuse that involved extensions because final decision was not reached within 90 days was one. Policy compliance can be found in provision (a) in this standard. The PAQ states, “Informal – 8, Step 1 – 0, Step 2 – 0.” “The extensions (continuances) should have been asked for by staff but was not.”

(e) The Omaha Correctional Center PAQ states agency policy and procedure permit third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of inmates. Agency policy and procedure requires that if an inmate declines to have third-party assistance in filing a grievance alleging sexual abuse, the agency documents the inmate’s decision to decline. The number of grievances alleging sexual abuse filed by inmates in the past 12 months in which the inmate declined third-party assistance, containing documentation of the inmate’s decision to decline was zero. Policy compliance can be found in provision (a) of this standard.

(f) The Omaha Correctional Center PAQ states the facility has a policy and established procedures for filing an emergency grievance alleging that an inmate is

	<p>subject to a substantial risk of imminent sexual abuse. The facilities policy and procedures for emergency grievances alleging substantial risk of imminent sexual abuse require an initial response within 48 hours. The facilities policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse require that a final agency decision be issued within five days. The number of emergency grievances alleging substantial risk of imminent sexual abuse that were filed in the past 12 months was four. The number of those grievances in 115.52 (e)-3 that had an initial response within 48 hours was one. The number of grievances alleging substantial risk of imminent sexual abuse filed in the past 12 months that reached final decisions within five days was four. Policy compliance can be found in provision (a) of this standard.</p> <p>(g) The Omaha Correctional Center PAQ states the facility has a written policy that limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the Inmate filed the grievance in bad faith. In the past 12 months, there have been zero grievances alleging sexual abuse to occasions where the agency demonstrated that the inmate filed the grievance in bad faith. Policy compliance can be found in provision (a) of this standard.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Advocacy Attempt Memorandum, dated 10.29.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Captain / PREA Coordinator <p>The interview with the PREA Coordinator demonstrated inmates would be partnered with a facility and or agency mental health provider as the agency has been unable to secure an agreement with an advocate agency.</p>

(a) The Omaha Correctional Center PAQ states the facility provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by:

- The facility provides inmates with access to such services by giving inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for local, state, or national victim advocacy or rape crisis organizations.
- The facility provides inmates with access to such services by giving inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for immigrant services agencies for persons detained solely for civil immigration purposes.
- The facility provides inmates with access to such services by enabling reasonable communication between inmates and these organizations in as confidential a manner as possible.

The PAQ states, "NDCS currently does not have a MOU with a rape crisis center. However, when the inmate goes to the community hospital for a SANE examination the hospital contacts a victim advocate to be present."

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 19, section U., states, "NDCS shall maintain or attempt to enter into a memorandum of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse. The agency shall maintain copies of agreements or documentation showing attempts to enter into such agreement.

In the event that a victim advocate is needed for an incident of sexual assault, the facility shall make available or attempt to make available to the victim a victim advocate from a rape crisis center. If a victim advocate from a rape crisis center is not available to provide victim advocate services, the facility shall make available a qualified staff member from a community-based organization or qualified agency staff member. This person shall be an individual who has been screened for appropriateness to serve in this role and has received education concerning sexual assault and forensic examination issues in general. The facility will document efforts to secure services from rape crisis centers on the Facility Checklist for Incidents of Sexual Assault/Abuse. As requested by the victim, the victim advocate, qualified agency staff member or qualified community-based organization staff member shall accompany and support the victim through the forensic examination process and investigatory interviews and shall provide emotional support, crisis intervention, information and referrals.

	<p>If requested by the victim, he or she will be allowed to contact his or her emergency contact or an immediate family member via a staff assisted telephone call. The staff assisted call shall be no longer than 15 minutes in duration. Staff will document the call, or attempted call, on an Incident Report.”</p> <p>(b) The Omaha Correctional Center PAQ states the facility informs Inmates, prior to giving them access to outside support services, the extent to which such communications will be monitored. The facility informs inmates, prior to giving them access to outside support services, of the mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply for disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant Federal, State, or local law.</p> <p>(c) The Omaha Correctional Center PAQ states the facility maintains memoranda of understanding with community service providers that are able to provide inmates with emotional support services related to sexual abuse.</p> <p>The facility provided an Advocacy Attempt Memorandum from the NDCS PREA Coordinator, stating, “NDCS currently does not have a MOU with a rape crisis center. However, when the inmate goes to the community hospital for a SANE examination the hospital contacts a victim advocate to be present.</p> <p>In the event NDCS enters into a MOU with a RAPE crisis center the below information will be part of the MOU and information will be given to the incarcerated population.”</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.54	Third-party reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <p>1. Omaha Correctional Center PAQ</p>

2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024

Interviews:

- 1. Random Inmates
- 2. Targeted Inmates
- 3. Corporals

Interviews with 15 random and 15 targeted inmates demonstrated that there was an awareness that a third party could report for them or they could report for others.

Interviews with Corporals and facility personnel demonstrated each would accept a third-party report and immediately report the allegation to their supervisor, the Shift Supervisor, or the Captain.

Site Observation:

During the tour Zero Tolerance postings were observed with third party contact information, throughout the facility.

(a) The Omaha Correctional Center PAQ states the facility provides a method to receive third-party reports of inmate sexual abuse or sexual harassment. The agency publicly distributes information on how to report inmate sexual abuse or sexual harassment on behalf of inmates. The PAQ states, “<https://corrections.nebraska.gov/about/prison-rape-elimination-act-0>.”

On 1.11.2024 at 4:14 pm, MST, this writer left a message on the agency PREA Hotline at 855.623.7360. After proper introductions and the reason for the call a return call was requested explaining the steps taken once a sexual harassment, sexual abuse and or report of retaliation is handled when received on this number. The phone call was returned by the agency PREA Coordinator on 1.13.2025 at 8:00 am stating, “attached is your Hotline call. If this was an inmate reporting an allegation, I would contact the facility for follow up on a possible investigation.”

Through such reviews, the facility meets the standard requirements.

115.61	Staff and agency reporting duties
	<p data-bbox="256 185 959 219">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 342 523 376">Document Review:</p> <ol data-bbox="256 409 1398 562" style="list-style-type: none"> <li data-bbox="256 409 783 443">1. Omaha Correctional Center PAQ <li data-bbox="256 483 1398 562">2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p data-bbox="256 667 416 701">Interviews:</p> <ol data-bbox="256 734 715 1066" style="list-style-type: none"> <li data-bbox="256 734 464 768">1. Corporals <li data-bbox="256 808 683 842">2. Administrative Personnel <li data-bbox="256 882 715 916">3. PREA Compliance Manager <li data-bbox="256 956 715 990">4. Captain / PREA Coordinator <li data-bbox="256 1030 440 1064">5. Warden <p data-bbox="256 1099 1437 1223">Interviews with the facility personnel demonstrated each actively practices and understood the importance of immediately reporting all allegations of sexual abuse and sexual harassment.</p> <p data-bbox="256 1328 520 1361">Site Observations:</p> <p data-bbox="256 1395 1406 1603">Review of investigations by utilizing the PREA Audit – Adult Prisons & Jails Documentation Review – Investigations template demonstrated the source of the allegation were primarily reported directly to staff; however, reports were also received through inmate request forms, and staffing reporting issues they saw through watching the cameras.</p> <p data-bbox="256 1709 1469 2040">(a/c-d) The Omaha Correctional Center PAQ states the agency requires all staff to report immediately and according to agency policy any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency. The agency requires all staff to report immediately and according to agency policy any retaliation against Inmates or staff who reported such an incident. The agency requires all staff to report immediately and according to agency policy any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.</p>

	<p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 20, section W., states, “All NDCS staff are required to report immediately and according to policy any knowledge, suspicion or information regarding:</p> <ul style="list-style-type: none"> a. An incident of sexual assault/abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency. b. Retaliation against inmates or staff who reported such an incident. c. Any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation. <p>Medical and mental health staff are obligated to report sexual assault/abuse and inform inmates of their duty to report and the limitations of confidentiality at the initiation of services.</p> <p>If the alleged victim is under 18 years old or considered a vulnerable adult, the allegations will be reported to the Department of Health and Human Services under applicable mandatory reporting laws.</p> <p>Staff shall not reveal any information related to a sexual assault/abuse report to anyone other than to the extent necessary as specified in NDCS policy, to make treatment, investigations, and other security decisions.”</p> <p>(b) The Omaha Correctional Center PAQ states, apart from reporting to designated supervisors or official and designated state or local service agencies, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> 1. PREA Compliance Manager <p>The interview with the PREA Compliance Manager demonstrated that an inmate can request protective custody at any time; however, an inmate has not requested protective housing in the past 12 months.</p> <p>Site Observation:</p> <p>During the tour restricted housing was observed to be closed due to being remodeled.</p> <p>(a) The Omaha Correctional Center PAQ states when the agency or facility learns that an inmate is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the Inmate. In the past 12 months, the facility reports that zero inmates were subject to substantial risk of imminent sexual abuse. Upon discovery of inmates being subject to substantial risk, the facility immediately separates the victim from the perpetrator, made notification and completed incident reporting requirements. The PAQ states, "This would be immediate."</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 20, section X, states, "When NDCS learns that an inmate is subject to a substantial risk of imminent sexual assault/abuse, it shall take immediate action to protect them and assess and implement appropriate protective measures without unreasonable delay."</p> <p>Through such reviews the facility meets the standard requirements.</p>
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115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Document Review:

1. Omaha Correctional Center PAQ
2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024
3. Email Communication – Inmate report of sexual relationship / Incident Report
4. Incident Report – Notification of Report of Sexual Assault Allegation
5. Email Notification of a Sexual Abuse Allegation
6. Fourth Quarter PREA Compliance Manager Training

Interviews:

1. Warden
2. Deputy Director of Prisons Operations / Agency Head

The interview with the Warden demonstrated that he was aware that upon receiving an allegation that an inmate was sexually abused while confined at another facility he would personally notify the Warden from the facility where the allegation was alleged to have occurred, within 72 hours of receipt of the allegation.

The interview with the Agency Head demonstrated all sexual assault allegations are referred to the Nebraska State Patrol and are coordinated through the agency PREA Coordinator, facility PREA Compliance Manager, and criminal investigators.

(a) The Omaha Correctional Center PAQ states the agency has a policy requiring that, upon receiving an allegation that an inmate was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or appropriate office of the agency or facility where sexual abuse is alleged to have occurred. The agency's policy also requires that the head of the facility notify the appropriate investigative agency. In the past 12 months the facility has received 12 allegations that an inmate was abused while in confinement at another facility.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 20, section Y, states, "Within 72 hours of receipt of an allegation an inmate was sexually abused while confined at another facility, the receiving warden/designee shall notify the warden/designee where the incident was alleged to have occurred and the captain/PREA coordinator. Such notification shall be documented. The captain/PREA coordinator will ensure an investigation is completed according to policy."

	<p>The facility provided an email notification demonstrating an incident report had been completed as a result of a sexual abuse allegation from an inmate when at another facility. The email demonstrates this communication was sent by the Facility Warden addressed to the receiving Facility Warden.</p> <p>(b) The Omaha Correctional Center PAQ states agency policy requires that the facility head provides such notification as soon as possible, but no later than 72 hours after receiving the allegation. Policy compliance can be found in provision (a) of this standard.</p> <p>The facility provided Fourth Quarter PREA Compliance Manager meeting notes demonstrating all were informed they could no longer make notifications for Wardens.</p> <p>(c) The Omaha Correctional Center PAQ states the facility documents that it has not had a need to provide such notification within 72 hours of receiving the allegation. Policy compliance can be found in provision (a) of this standard.</p> <p>(d) The Omaha Correctional Center PAQ states facility policy requires that allegations received from other agencies or facilities are investigated in accordance with the PREA standards. In the last 12 months, there has been one allegation of sexual abuse. Policy compliance can be found in provision (a) of this standard.</p> <p>The facility provided an email notification to the Facility Administrator providing information on a receipt of a claim of sexual abuse that was alleged to have occurred at Tecumseh Correctional Institution.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Document Review:

1. Omaha Correctional Center PAQ

2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024

Interviews:

1. Corporals

Interviews with Corporals demonstrated each carried first responder cards and or booklets with first responder duties. Corporals articulated they would separate victims from aggressors, ensure evidence was not disturbed on the victim or perpetrator and or in the areas where the sexual abuse had taken place.

(a) The Omaha Correctional Center PAQ states the agency has a first responder policy for allegations of sexual abuse. The policy requires that, upon learning of an allegation that an inmate was sexually abused, the first security staff member to respond to the report shall be required to separate, preserve, protect, collect physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. If the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. In the past 12 months, nine allegations occurred where an inmate was sexually abused. Of these allegations of sexual abuse in the past 12 months, the number of times the first security staff member responded to the report separated the alleged victim and abuser was nine.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 21, section Z. 1. a-b, Initial Response:

a. Upon learning of an allegation an inmate was sexually assaulted, the first security staff member (team members primarily responsible for the supervision and control of inmates, including but not limited to custody, housing unit, mental health, recreation, maintenance, teachers, etc.) to respond to the report shall be required to:

1. Separate the victim and abuser and ensure that the victim is safe.

2. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.

3. Security staff and non-security staff that respond to an incident will request the alleged victim and ensure the alleged abuser not take any actions that could destroy physical evidence, including, as appropriate, washing/showering, brushing teeth,

	<p>changing clothes, urinating, defecating, smoking, drinking or eating if the abuse occurred within a time period that allows for evidence collection (120 hours); and notify the shift supervisor immediately.</p> <p>b. Inmate victims of sexual assault or inmates alleging they are victims of sexual assault which occurred within the past 120 hours will be referred immediately, under appropriate security provisions, to a community hospital for initial treatment and gathering of evidence without financial cost to the inmate. All forensic examinations shall be at a community hospital. If it is determined a forensic examination will be conducted department medical staff may provide only emergency medical care prior to transport.”</p> <p>(b) The Omaha Correctional Center PAQ states the facility’s’ policy requires that if the first staff responder is not a security staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence and notify security staff. Of the allegations that an inmate was sexually abused made in the past 12 months, the number of times a non-security staff member was the first responder was two. Th PAQ states, “1-to PCM, 1-Case Management.”</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.65	Coordinated response
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Facility checklist for Incidents of Sexual Assault Sexual Abuse and Sexual Harassment, dated 7.2024 <p>Interviews:</p> <ol style="list-style-type: none"> PREA Compliance Manager <p>Interviews with the PREA Compliance Manager demonstrated the facility utilizes a</p>

	<p>Facility Checklist for each incident of sexual abuse and sexual harassment and each Lieutenant had access to the document either in their office or through computer access.</p> <p>Site Observation:</p> <p>Review of the facilities Coordinated Response Plan demonstrates clear direction to staff to ensure first responder duties are fulfilled.</p> <p>(a) The Omaha Correctional Center PAQ states the facility developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership.</p> <p>The facility provided a Facility Checklist for incidents of sexual assault, sexual abuse and sexual harassment. The checklist documents the following information.</p> <ul style="list-style-type: none"> · Date and time reported · Date and time of incident · First staff on Scene with title · Means of Discovery/Reporting · Alleged Victim – Name and Number · Alleged Perpetrator-Name/Number · Witnesses of the Incident – Name and Number · Steps to ensure a coordinated response for Sexual Assault, Sexual Abuse and Sexual Harassment · Always ensure the following is completed · Notifications / contacts made · Form completed by: Name/title/signature <p>Through such reviews, the facility meets the standard requirements.</p>
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	abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 3. Labor Contract Between The State of Nebraska and Protective Services, Fraternal Order of Police, Nebraska Protective Services, Lodge 88, dated 7.1.2023 - 6.30.2025 <p>Interview:</p> <ol style="list-style-type: none"> 1. Deputy Director of Prisons Operations / Agency Head <p>The interview with the Agency Head demonstrated that the Department of Administrative Services have completed negotiations having the ability through agency policy and contract language to move, discipline and take appropriate action for reasons related to PREA.</p> <p>(a) The Omaha Correctional Center PAQ states the agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has entered or renewed any collective bargaining agreement or other agreement since August 20, 2012, or since the last PREA audit, whichever is later.</p> <p>The facility is currently entered into a collective bargaining agreement. The agreements include the following:</p> <ul style="list-style-type: none"> · The State of Nebraska and Protective Services, represented by Fraternal Order of Police, Nebraska Protective Services, Lodge 88 (FOP 88) <p>Through such reviews, the facility meets the standard requirements.</p>

115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Document Review:

1. Omaha Correctional Center PAQ
2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024
3. Post Audit: PowerPoint Presentation: PREA Investigations and Non-Criminal 115.41, 115.64, 115.67, 115.71, 115.81, 115.86
4. Post Audit: PREA Training Logs
5. Post Audit: NDCS Memorandum, RE: Memo for standard 115.67, dated 2.26.2025

Interviews:

1. PREA Compliance Manager

The interview with the PREA Compliance Manager demonstrated she introduces inmates to retaliation monitoring during orientation and again at the time an allegation of sexual abuse is received. Inmates are told they can reach out to the PREA Compliance Manager or a trusted staff member any time if they need to report retaliation. The PREA Compliance Manager stated she monitors for misconduct reports, communication in inmate's notes, and any changes of rooms or jobs. The PREA Compliance Manager stated she documents all issues, discusses any issues with the PREA Coordinator and documents check in status reports every three weeks for 90 days and or as long as may be necessary.

Pre-Audit Observation:

Investigation review demonstrated retaliation monitoring did not begin upon receipt of a sexual abuse allegation; however, retaliation monitoring was completed for 90 days with documented periodic checks.

Corrective Action Plan:

- Provide documented training for all appropriate staff on each provision of §115.67.
- Appropriate facility personnel to provide a memorandum with a sustainable action plan stating which facility position will ensure all requirements of §115.67 are met. Memorandum to be addressed to DOJ PREA Auditor, date and author of the memorandum and standard in question.
- Upload required documentation to the online audit system standard area in question.

Post audit the facility provided a PowerPoint presentation PREA Investigations and Non-Criminal covering topics for the following standards 115.41, 115.64, 115.67, 115.71, 115.81, 115.86.

Post audit the facility provided training rosters demonstrated that 30 staff members received additional training required to satisfy the corrective action plan for this standard.

Post audit the agency provided a memorandum from the PREA Coordinator addressed to the PREA Auditor with the following sustainable action plan.

- Retaliation monitoring will start the date the incident is reported. The retaliation monitoring will be done for at least 90 days.
- Once the investigation is completed the notification to the victim will be documented in the retaliation monitoring.
- the Compliance Manager will ensure this is being done accurately and needs to be sent to the PREA Coordinator upon completion as well as saved in the facility file.

(a) The Omaha Correctional Center PAQ states the agency has a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff. The agency designates staff member(s) or charges department(s) with monitoring for possible retaliation. The facility designates the PREA Compliance Manager as the retaliation monitor.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 23, section CC. 1-2., state,

1. "NDCS has an obligation to protect all inmates and team members who report sexual assault/abuse or sexual harassment or cooperate with sexual assault/abuse or sexual harassment investigations from retaliation by other inmates or staff. Examples of acts of retaliation include, but are not limited to, unnecessary discipline, intimidation, unnecessary changes in work or program assignments, unjustified transfers or placements and unjustified denials of privileges or services. The facility PREA compliance manager is responsible for monitoring retaliation against inmates, the captain/PREA coordinator is responsible for monitoring retaliation against staff. Staff who believe they have been subject to retaliation should contact the captain/PREA coordinator in order to initiate retaliation tracking.

	<p>2. Once the PREA compliance manager is made aware of an open investigation, the PREA compliance manager shall meet with the victim and begin retaliation tracking. Facilities shall employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse/assault or sexual harassment or for cooperating with investigations.”</p> <p>(c) The Omaha Correctional Center PAQ states the facility monitors the conduct or treatment of inmates or staff who reported sexual abuse and of inmates who were reported to have suffered sexual abuse to see if there are any changes that may suggest possible retaliation by inmates or staff. The length of time that the facility monitors the conduct of treatment is 90 days. The facility acts promptly to remedy any such retaliation. The agency/facility continues such monitoring beyond 90 days if the initial monitoring indicates a continuing need. In the past 12 months, the facility has had zero incidents of retaliation.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 23-24, section CC. 3., states, “For at least 90 days following a report of sexual assault/abuse, the agency shall monitor the conduct and treatment of inmates or staff who report sexual assault/abuse and of inmates who were reported to have suffered sexual assault/abuse to determine if there are changes that may suggest possible retaliation by inmates or team members. Immediate action will be taken to remedy any retaliation. The facility will continue monitoring beyond 90 days if the initial monitoring indicates a continuing need.</p> <p>a. Items that should be monitored include any inmate disciplinary reports, housing or program changes or negative performance reviews or reassignments of staff.</p> <p>b. The facility PREA compliance manager or captain/PREA coordinator will make periodic status checks to determine if retaliation is being perpetrated against or perceived by inmates or staff. With the approval of the warden, the PREA compliance manager may designate up to three management positions (Unit Manager, Lieutenant or above) to assist with retaliation monitoring. Allegations or indications of retaliation will be reviewed and documented. Appropriate action will be taken in the event of confirmed retaliation against inmates or staff.”</p> <p>Through such reviews the facility meets the standard requirements.</p>
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115.68	Post-allegation protective custody
	Auditor Overall Determination: Exceeds Standard

Auditor Discussion

Document Review:

1. Omaha Correctional Center PAQ
2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024

Interviews:

1. Warden

The interview with the Warden demonstrated protective custody is only utilized if there is an immediate safety concern; however, he could not think of a time protective custody was needed in the past year. The Warden stated he would ensure victims are kept separate from their perpetrators by putting limitations in the inmate contact notes for a record of the limitations.

(a) The Omaha Correctional Center PAQ states the agency has a policy prohibiting the placement of inmates who allege to have suffered sexual abuse in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers. The number of inmates who alleged to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment was zero.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 16-17, section R. 1-3, state,

1. Inmates at high risk for sexual victimization and those alleged to have suffered sexual assault/abuse shall not be placed in involuntary restrictive housing unless an assessment of all available alternatives has been conducted, and a determination has been made there is no available alternative means of separation from likely abusers.

If unable to conduct this assessment immediately, the inmate may be held in involuntary restrictive housing (immediate segregation) for less than 24 hours while the assessment is completed.

2. Inmates who remain in restrictive housing for this purpose shall: Have access to programs, privileges, education and work opportunities to the extent possible.

	<p>a. If this access is restricted the facility shall document:</p> <ol style="list-style-type: none"> 1. The opportunities that have been limited. 2. The duration of the limitations. 3. The reasons for such limitations. <p>b. Be assigned to involuntary restrictive housing only until an alternative means of separation from likely abusers can be arranged. Such an assignment shall not ordinarily exceed 30 days.</p> <p>3. Staff shall document the basis of the facility's concern for the inmate's safety and the reason why no alternative means of separation can be arranged if the involuntary restrictive housing assignment is made following a determination that no alternative means of separation exists.</p> <p>Every 30 days, the facility shall afford each such inmate a review to determine whether there is a continuing need for separation from the general population."</p> <p>Through such reviews of the facility not utilizing restricted housing for vulnerable persons and ensuring they are kept safe and separate from perpetrators, the facility exceeds the standard requirements.</p>
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115.71	Criminal and administrative agency investigations
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 3. 11 Sexual Abuse and Sexual Harassment Investigations Received and Reviewed <p>Interviews:</p> <ol style="list-style-type: none"> 1. Accreditation – Policy Manager / Investigator 2. Criminal Investigator

3. Captain / PREA Coordinator

The interview with the Investigator demonstrated he begins an investigation right away and reviews incident reports, begins to gather information regarding the incident, reviews camera footage, contacts the PREA Coordinator for histories, reviews the incident database, interviews all who were involved or in the area then writes a report that is reviewed by the Warden and the PREA Coordinator before it is finalized. The Investigator stated it doesn't matter the source of the allegation, all allegations are taken seriously and investigated.

The investigation with the Criminal Investigator demonstrated how he investigates depends on the type of incident. The Criminal Investigator stated he reviews the report, offers a SANE if applicable, ensures both the victim and aggressor or reassessed and separated, reviews the secured sched, gathers documentation involved in the incident and opens a preliminary investigation.

The interview with the PREA Coordinator demonstrated she keeps in contact with and has regular meetings with the agency criminal investigators and the Nebraska State Patrol to stay informed on sexual abuse investigation status.

Site Observation:

Review of investigations by utilizing the PREA Audit – Adult Prisons & Jails
Documentation Review – Investigations template demonstrated eight sexual abuse and three sexual harassment investigations reviewed had all required areas of investigation requirements included in investigation documentation.

(a-b) The Omaha Correctional Center PAQ states the agency/facility has a policy related to criminal and administrative agency investigations.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 24, section EE., states, "NDCS shall ensure that an administrative or criminal investigation is completed for all allegations of sexual assault/abuse and sexual harassment, including third party and anonymous reports, in a prompt, thorough and objective manner. Any outside entities responsible for conducting administrative or criminal investigations of sexual assault/abuse shall have in place a policy governing the conduct of such investigations that complies with PREA standards and shall provide training pertaining to the investigation of sexual assault/abuse in confinement settings to the agents and investigators who conduct such investigations. When outside agencies investigate sexual abuse/assault, NDCS shall

	<p>cooperate with outside investigators and endeavor to remain informed about the progress of the investigation.”</p> <p>(h) The Omaha Correctional Center PAQ states there have been one allegation of conduct that appeared to be criminal was referred for prosecution, since the last audit date.</p> <p>(i) The Omaha Correctional Center PAQ states the agency retains all written reports pertaining to administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 32, section OO. 4.a., states, “Maintenance of Records</p> <p>a. The case records involving allegations of sexual harassment, sexual abuse or sexual assault of inmates and the subsequent investigations and outcomes will be kept by the captain/PREA coordinator. Written reports regarding criminal and administrative investigations will be retained for as long as the alleged abuser is incarcerated or employed by NDCS, plus five years. The required information will be kept in the Investigator’s Case Management database as well as a hard copy in the captain/PREA coordinator’s office. Each case shall be identified as one of the following PREA categories:</p> <ol style="list-style-type: none"> 1. Staff on inmate sexual assault Staff on inmate sexual abuse 2. Staff on inmate exhibitionism 3. Staff on inmate voyeurism 4. Staff on inmate sexual harassment 5. Inmate on inmate sexual assault 6. Inmate on inmate sexual abuse 7. Inmate on inmate sexual harassment <p>Through such reviews, the facility meets the standard requirements.</p>
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	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> 1. Accreditation – Policy Manager / Investigator 2. Criminal Investigator <p>The interview with the Investigators demonstrated the facility shall impose no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.</p> <p>(a) The Omaha Correctional Center PAQ states the agency imposes a standard of a preponderance of the evidence or a lower standard of proof for determining whether allegations of sexual abuse or sexual harassment are substantiated.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 27, section FF., states, “NDCS shall impose no standard higher than a preponderance of the evidence in determining whether allegations of sexual assault/abuse or sexual harassment are substantiated.”</p> <p>Through such reviews, the facility meets the standard requirements.</p>

115.73	Reporting to inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ

2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024
3. NDCS / PREA Post Investigation Inmate Notification Form, dated 7.2023
4. Post Audit: PowerPoint Presentation: PREA Investigations and Non-Criminal 115.41, 115.64, 115.67, 115.71, 115.81, 115.86
5. Post Audit: PREA Training Logs
6. Post Audit: NDCS Memorandum, RE: Memo for standard 115.73, dated 2.26.2025

Interviews:

1. PREA Compliance Manager

The interview with the PREA Compliance Manager demonstrated notification requirements to victims are given verbally and in writing by herself. Documentation of notifications are documented on the Inmate Notification Form. The PREA Compliance Manager stated if an inmate discharges before the investigation is complete the facility will notify the inmate of the outcome through their parole and or probation officers.

Site Observation:

Of the nine sexual abuse incidents reviewed during the onsite review, four did not have documentation of the outcome of the investigation and or staff movements during the investigation.

Corrective Action Plan:

- Provide documented training for all appropriate staff on each provision of §115.73.
- Appropriate facility personnel to provide a memorandum with a sustainable action plan stating which facility position will ensure all requirements of §115.73 are met. Memorandum to be addressed to DOJ PREA Auditor, date and author of the memorandum and standard in question.
- Upload required documentation to the online audit system standard area in question.

Post audit the facility provided a PowerPoint presentation PREA Investigations and Non-Criminal covering topics for the following standards 115.41, 115.64, 115.67,

115.71, 115.81, 115.86

Post audit the facility provided training rosters demonstrated that 30 staff members received additional training required to satisfy the corrective action plan for this standard.

Post audit the agency provided a memorandum from the PREA Coordinator addressed to the PREA Auditor with the following sustainable action plan.

- When conducting notifications during an investigation the victim will be notified of the removal from staff member who is identified as the aggressor. This will be documented on the notification form and in the retaliation monitoring.
- After review of the investigation and when the facility is notified of the investigation findings. The compliance manager will ensure the notification form is being completed through the investigation and will notify the victim within in 2 weeks of being informed of investigation findings.

(a) The Omaha Correctional Center PAQ states the agency has a policy requiring that any inmate who alleges that he or she suffered sexual abuse in an agency facility is informed, verbally or in writing, as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation by the agency. The number of criminal and/or administrative investigations of alleged inmate sexual abuse that were completed by the agency/facility in the past 12 months was 10. Of the alleged sexual abuse investigations that were completed in the past 12 months, the number of inmates who were notified, verbally or in writing, of the results of the investigation was 10.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 27, section GG. 1., states, "Following an investigation into an inmate's allegation that he or she suffered sexual assault/abuse or harassment, the inmate shall be informed as to whether the allegation has been determined to be substantiated, unsubstantiated or unfounded. If NDCS did not conduct the investigation it shall request the relevant information from the Nebraska State Patrol in order to inform the inmate. All notifications or attempts shall be made by the captain/PREA coordinator/ designee and documented. The obligation to report is terminated if the inmate is released from NDCS custody."

The facility provided a NDCS / PREA Post Investigation Resident Notification. This notification documents the following:

- Case number / Date of notification
- Nature of Allegation
- Allegation Category
- Did the victim receive written/verbal/Notice of Investigation?
- Did the investigation include outside agency? If yes, Name of Agency and date notified
- Date Investigation began and concluded
- Victim must be notified of the below throughout the investigation
 - o Victim notified on of aggressor (team member) removed from inmates unit
 - o Victim notified on of aggressor (incarcerated individual) separated from the victim.
- Below Sanction Imposed – unless unfounded or unsubstantiated
 - o Victim notified on of aggressor (team member) no longer employs
 - o Victim notified on of aggressor (team member or incarcerated individual) indicted
 - o Victim notified on of aggressor (team member or incarcerated individual) convicted
- Finding of investigation
 - o Case open with State Patrol
 - o Substantiated – allegation was investigated and determined to have occurred
 - o Unfounded – allegation was investigated and determined not to have occurred
 - o Unsubstantiated – allegation was investigated, and the investigation produced insufficient evidence to make a final determination as to whether or not the event occurred
- Victim Signature/Inmate #/Date/Time
- PREA Compliance Manager signature/Date/Time
- Facility Warden signature/Date/Time
- PREA Coordinator signature/Date/Time

(b) The Omaha Correctional Center PAQ states if an outside entity conducts such

investigations, the agency requests the relevant information from the investigative entity to inform the Inmate as to the outcome of the investigation. The number of investigations of alleged inmate sexual abuse in the facility that were completed by an outside agency in the past 12 months was zero. The PAQ states, "OCC did not have a criminal investigation in the past 12 months that required outside law enforcement."

(c) The Omaha Correctional Center PAQ states following an inmate's allegation that a staff member has committed sexual abuse against the inmate, the agency/facility subsequently informs the inmate (unless the agency has determined that the allegation is unfounded) whenever:

- The staff member is no longer posted within the inmate's unit;
- The staff member is no longer employed at the facility;
- The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or
- The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility."

The PAQ states, "there has not been a substantiated or unsubstantiated staff on inmate sexual abuse."

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 27, section GG. 2., states, "Inmate allegation against a staff member

Upon completion of the investigation (including the final review) of an inmate's allegation that a staff member has committed sexual assault/abuse against the inmate (unless it is determined the allegation was unfounded), he or she will be informed whenever:

- a. The staff member is no longer posted within the inmate's unit.
- b. The staff member is no longer employed at the facility.
- c. It is learned the staff member has been indicted on a charge related to sexual abuse/assault within the facility.
- d. It is learned the staff member has been convicted on a charge related to sexual abuse/assault within the facility."

	<p>(d) The Omaha Correctional Center PAQ states following an inmate’s allegation that he or she has been sexually abused by another inmate in an agency facility, the agency subsequently informs the alleged victim whenever: the agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or the agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 28, section GG. 3., states, “Upon completion of the investigation (including the final review) of an inmate’s allegation that another inmate has committed sexual assault/abuse against the inmate, he or she will be informed whenever:</p> <p>a. It is learned the alleged abuser has been indicted on a charge related to sexual assault/abuse within the facility.</p> <p>b. It is learned the alleged abuser has been convicted on a charge related to sexual assault/abuse within the facility.”</p> <p>(e) The Omaha Correctional Center PAQ states the agency has a policy that all notifications to inmates described under this standard are documented. In the past 12 months, there have been eight notifications to an inmate, pursuant to this standard. Of those notifications, in the past 12 months, eight notifications were documented. Policy compliance can be found in provision (a) of this standard.</p> <p>Through such reviews the facility meets the standard requirements.</p>
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115.76	Disciplinary sanctions for staff
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p>

1. Warden

The interview with the Warden demonstrated that any employee involved in a sexual abuse incident would be reviewed by the Human Resource Director for next steps. Depending on the situation the employee would be suspended until he or she goes through the termination process. The Warden would notify law enforcement if criminal, the Human Resource Department would notify the proper agency department to make licensing agency notifications.

Site Observation:

In the last 12 months, the facility had zero staff who was disciplined for violation of an agency sexual abuse or sexual harassment policy.

(a) The Omaha Correctional Center PAQ states staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies. In the past 12 months, the number of those staff from the facility who have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies was zero.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 28, section HH, states, "Staff members shall be subject to disciplinary sanctions up to and including termination for violating agency sexual assault/abuse or sexual harassment policies. Disciplinary sanctions for violations of agency policies relating to sexual assault/abuse or sexual harassment (other than actually engaging in sexual assault/abuse) shall be commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history and the sanctions imposed for comparable offenses by other staff with similar histories. Termination shall be the presumptive disciplinary sanction for staff who have engaged in sexual assault/abuse. All terminations for violations of agency sexual assault/abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies."

(b) The Omaha Correctional Center PAQ states in the last 12 months, there has been zero staff from the facility that have violated agency sexual abuse or sexual harassment policies.

(c) The Omaha Correctional Center PAQ states disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually

	<p>engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories. In the past 12 months, the number of staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse) is zero. Policy compliance can be found in provision (a) of this standard.</p> <p>(d) The Omaha Correctional Center PAQ states all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies. In the past 12 months, zero staff have been terminated for sexual abuse or harassment.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.77	Corrective action for contractors and volunteers
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 <p>Interviews:</p> <ol style="list-style-type: none"> Warden <p>The interview with the Warden demonstrated that any contractor or volunteer involved in a sexual abuse incident would never be allowed back in an institution and he would notify the associated agency, law enforcement and any licensing agency.</p> <p>Site Observation:</p> <p>During the last audit cycle, the facility did not have any volunteers or contractors</p>

	<p>subject to disciplinary action due to violating sexual abuse or sexual harassment policies.</p> <p>(a) The Omaha Correctional Center PAQ states agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. Agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with Inmates. In the past 12 months, there have been zero contractors or volunteers reported to law enforcement or relevant licensing bodies for engaging in sexual abuse of inmates.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 28, section II., states, "Any contractor or volunteer who engages in sexual assault/abuse shall be prohibited from contact with inmates and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. The facility shall take appropriate remedial measures and shall consider whether to prohibit further contact with inmates, in the case of any other violation of agency sexual assault/abuse or sexual harassment policies by a contractor or volunteer."</p> <p>(b) The Omaha Correctional Center PAQ states the facility takes appropriate remedial measures and considers whether to prohibit further contact with Inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.78	Disciplinary sanctions for inmates
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Rules and Regulations Manual,

dated 3.28.2023

Interviews:

1. Warden

The interview with the Warden demonstrated that an inmate who was found to be involved in sexual abuse incident would be placed on the aggressor list, be removed from the facility to maximum security or restricted housing and reprimanded as this behavior is not tolerated.

Site Observation:

During the last audit cycle, the facility two inmates subject to disciplinary action due to violating sexual abuse or sexual harassment policies.

(a) The Omaha Correctional Center PAQ states inmates are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for inmate-on-inmate sexual abuse. Inmates are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for inmate-on-inmate sexual abuse. In the past 12 months, the number of administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility was two. In the past 12 months, the number of criminal findings of guilt for inmate-on-inmate sexual abuse that have occurred at the facility was zero.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 28, section JJ. 1., states, "Disciplinary Process

1. Inmates shall be subject to disciplinary sanctions pursuant to a formal disciplinary process following an administrative finding the inmate engaged in inmate-on inmate sexual assault or sexual harassment or following a criminal finding of guilt for inmate-on-inmate sexual abuse/assault."

(d) The Omaha Correctional Center PAQ states the facility offers therapy, counseling, or other interventions designed to address and correct the underlying reasons or motivations for abuse. The facility offers therapy, counseling, or other interventions designed to address and correct the underlying reasons or motivations for abuse, the facility considers whether to require the offending inmate to participate in such interventions as a condition of access to programming or other benefits.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 29, section JJ. 4., states, "If the facility offers therapy, counseling or other interventions designed to address and correct underlying reasons or motivations for the abuse, the facility shall consider whether to require the offending inmate to participate in such interventions as a condition of access to programming or other benefits. If such a determination is made the inmate will be re-classified accordingly."

(e) The Omaha Correctional Center PAQ states the agency disciplines inmates for sexual contact with staff only upon finding that the staff member did not consent to such contact.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 29, section JJ. 5., states, "Inmates shall not be disciplined for having sexual contact with staff unless it is determined the staff member did not consent to such contact.

(f) The Omaha Correctional Center PAQ states the agency prohibits disciplinary action for a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence sufficient to substantiate the allegation.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 29, section JJ. 6., states, "For the purpose of disciplinary action, a report of sexual assault/abuse or sexual harassment made in good faith based upon a reasonable belief the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation."

(g) The Omaha Correctional Center PAQ states the agency prohibits all sexual activity between inmates. If the agency prohibits all sexual activity between inmates and disciplines inmates for such activity, the agency deems such activity to constitute sexual abuse only if it determines that the activity is coerced.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 29, section JJ. 7., states, "While NDCS does prohibit all sexual activity between inmates, such activity, when it occurs, will not constitute sexual abuse if it is determined the activity is consensual and has not been coerced."

	Through such reviews, the facility meets the standard requirements.
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115.81	Medical and mental health screenings; history of sexual abuse
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none">1. Omaha Correctional Center PAQ2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.20243. Nebraska Department of Correctional Services Mental Health PREA Intake Screening Form, dated 9.2023 <p>Interviews:</p> <ol style="list-style-type: none">1. Contract Medical Aid2. Contract Licensed Practical Nurse3. Licensed Mental Health Practitioner <p>Interviews with medical and mental health staff demonstrated each is provided with a medical or mental health referral from custody staff through the risk assessment at intake or through their own assessment when each interviews inmates upon intake. Medical and mental health staff know offenders are to be seen with 14 days; however, staff state they see offenders as soon as possible.</p> <p>(a/c) The Omaha Correctional Center PAQ states all Inmates at this facility who have disclosed any prior sexual victimization during a screening pursuant to §115.41 are offered a follow-up meeting with a medical or mental health practitioner. Follow up meetings were offered within 14 days of the intake screening with 100% inmates who disclosed prior victimization during the intake screening. Medical and mental health staff maintain secondary materials, documenting compliance with the above required services.</p> <p>Nebraska Department of Correctional Services, Mental Health Services Policy 115.23, page 29-30, section KK., states, “f the screening pursuant to PREA Standard 115.41 indicates that a prison/jail inmates has experienced prior sexual victimization,</p>

or has perpetrated sexual assault/abuse whether it occurred in an institutional setting or in the community, staff shall ensure that the inmates offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening. Any information related to sexual victimization or abusiveness is strictly limited to medical and mental health practitioners and staff as necessary for treatment plans, security, housing, work education and program assignments.

During an intake screening the inmate does not report prior victimization and or abusiveness but once seen by medical and mental health and decides to report victimization and or abusiveness the facility PREA compliance manager will be notified of such to ensure that a proper PREA screening assessment is completed.

Medical and mental health staff shall obtain informed consent from inmates before reporting prior information about sexual victimization that did not occur in an institutional setting, unless the inmate is under 18 years of age.

The facility provided a Nebraska Department of Correctional Services Mental Health PREA Intake Screening form documenting the following.

1. Name and number
2. Are you a victim / survivor of sexual abuse?
3. If you ask: Would you like to receive mental health follow up related to that/those experience/s
4. Did this occur in the community or in an institutional setting
5. Have your ever sexually assaulted or sexually abused another person, or have you ever been accused of doing so?
6. Are you incarcerated for a sexual offense? Against a child/adult?
7. Are you in need of any mental health follow-up for any current needs in this area?

(b) The Omaha Correctional Center PAQ states that all Inmates who have previously perpetrated sexual abuse, as indicated during the screening pursuant to § 115.41, are offered a follow-up meeting with a mental health practitioner. In the past 12 months, the percentage of inmates who have previously perpetrated sexual abuse, as indicated during the screening, who were offered a follow-up meeting with a mental health practitioner was 100%. Policy compliance can be found in provision (a) of this standard.

	<p>(d) The Omaha Correctional Center PAQ states information related to sexual victimization or abusiveness that occurred in an institutional setting is strictly limited to medical and mental health practitioners. Policy compliance can be found in provision (a) of this standard.</p> <p>(e) The Omaha Correctional Center PAQ states that medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institution setting unless the inmate is under the age of 18. Policy compliance can be found in provision (a) of this standard.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> 1. Omaha Correctional Center PAQ 2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 3. Nebraska Department of Correctional Services, Mental Health/Medical Referral Form, dated 11.1998 <p>Interviews:</p> <ol style="list-style-type: none"> 1. Contract Medical Aid 2. Contract Licensed Practical Nurse 3. Licensed Mental Health Practitioner <p>Interviews with medical and mental health staff demonstrated each are aware of access to emergency medical and mental health services upon receipt of an allegation of sexual abuse. Each staff attested to inmates receiving immediate care in the event of a sexual abuse incident.</p>

Site Observation:

In the past 12 months the facility has had zero inmates who needed emergency services due to sexual abuse.

(a/b) The Omaha Correctional Center PAQ states inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services. The nature and scope of such services are determined by medical and mental health practitioners according to their professional judgment. Medical and mental health staff maintain secondary materials (e.g., form, log) documenting the timeliness of emergency medical treatment and crisis intervention services that were provided; the appropriate response by non-health staff in the event health staff are not present at the time the incident is reported; and the provision of appropriate and timely information and services concerning contraception and sexually transmitted infection prophylaxis

The facility provided a Nebraska Department of Correctional Services, Mental Health/ Medical Referral Form. The form is completed to document the following.

- Date / Referral Source
- Inmate Name / Number
- Institution / Living Location
- Referral to:
 - o In-Patient Mental Health Program
 - o In-Patient Sex Inmate Program
 - o Psychiatric Consult
 - o Medical
 - o Socially & Developmentally Impaired Program
 - o Crisis Intervention
 - o Mental Health Counseling / Assessment
 - o Other

(c) The Omaha Correctional Center PAQ states inmate If no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made,

	<p>security staff first responders shall take preliminary steps to protect the victim pursuant to § 115.62 and shall immediately notify the appropriate medical and mental health practitioners.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 30, section LL., states, “Inmate victims of sexual assault/abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. If no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the victim and immediately notify the appropriate medical and mental health staff.</p> <p>Inmate victims of sexual assault/abuse shall be offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.”</p> <p>(d) The Omaha Correctional Center PAQ states treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. Policy compliance can be found in provision (c) of this standard.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024

Interviews:

1. Licensed Mental Health Provider
2. Contract Medical Assistant
3. Licensed Practical Nurse

Interviews with medical and mental health staff demonstrated a continuum of medical and mental health treatment begins upon a victim returning from a forensic exam. Staff stated victims would be treated immediately for any medical and mental health concerns and seen by a provider Monday through Friday. Medical and mental health staff stated victims and perpetrators would be evaluated for a continuum of care upon return of a forensic exam.

Site Observation:

The facility has not experienced the need for emergency medical and or mental health services in the past 12 months.

(a-c) The Omaha Correctional Center PAQ states the facility offers medical and mental health evaluation and, as appropriate, treatment to all Inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 30, section MM., states, "All inmates shall be offered medical and mental health evaluations and as appropriate, treatment if they have been the victim of sexual assault/abuse. The evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities or their release from custody. Services shall be consistent with community standards of care. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

All inmates alleging they are victims of sexual assault shall be offered tests for sexually transmitted infections as medically appropriate. Medical staff will provide appropriate counseling and information relative to sexually transmitted infections. Preventive treatment and follow-up for sexually transmitted infections will be offered to all victims as appropriate. Inmate victims of sexually abusive vaginal penetration shall be offered pregnancy tests. If pregnancy results from the incident, the victim

	<p>shall receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services.”</p> <p>(d) This provision is not applicable as the Omaha Correctional Center does not house females.</p> <p>(e) This provision is not applicable as the Omaha Correctional Center does not house females.</p> <p>(f) The Omaha Correctional Center PAQ states that inmate victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate. Policy compliance can be found in provision (a) of this standard.</p> <p>(g) The Omaha Correctional Center PAQ states treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. Policy compliance can be found in provision (a) of this standard. The PAQ states, https://nebraskalegislature.gov/laws/statutes.php?statute=81-1429.03</p> <p>(h) The Omaha Correctional Center PAQ states they attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offers treatment when deemed appropriate by mental health practitioners.</p> <p>Through such reviews of both medical and mental health staff evaluating victims and perpetrators for a continuum of care within 72 hours, the facility exceeds the standard requirements.</p>
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115.86 Sexual abuse incident reviews	
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ

2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024
3. Nebraska Department of Correctional Services, Sexual Abuse Incident Review (SAIR), dated 2023
4. Post Audit: PowerPoint Presentation: PREA Investigations and Non-Criminal 115.41, 115.64, 115.67, 115.71, 115.81
5. Post Audit: PREA Training Logs
6. Post Audit: NDCS Memorandum, RE: Memo for standard 115.86, dated 2.26.2025

Interviews:

1. Warden

The interview with the Warden demonstrated the incident review team is comprised of the Warden, Deputy Warden, Major, Intel, Unit Administrator, STG, and Lieutenant. The Warden stated the team reviews all incidents, the Major reviews the packets, what we need to do to fix any issues, who was around during the incident, was their appropriate space in the area, and if retaliation is a concern. If changes are needed, policy could be revised, new duties could be assigned, documentation would exist in who is responsible for recommendations, and he would follow up on when the action item may be completed.

Pre-Audit Observation:

Investigation review demonstrated two of eight sexual abuse investigations reviewed did not have documented sexual abuse incident reviews available. One investigation was misclassified demonstrating two outcomes for the investigation of substantiated and unsubstantiated.

Corrective Action Plan:

- Provide documented training for all appropriate staff on each provision of §115.86.
- Appropriate facility personnel provide a memorandum with a sustainable action plan stating which facility position will ensure all requirements of §115.86 are met. Memorandum to be addressed to DOJ PREA Auditor, date and author of the memorandum and standard in question.
- Upload required documentation to the online audit system standard area in question.

Post audit the facility provided a PowerPoint presentation PREA Investigations and Non-Criminal covering topics for the following standards 115.41, 115.64, 115.67, 115.71, 115.81, 115.86.

Post audit the facility provided training rosters demonstrated that 30 staff members received additional training required to satisfy the corrective action plan for this standard.

Post audit the agency provided a memorandum from the PREA Coordinator addressed to the DOJ Auditor with the following sustainable action plan.

- Upon completion of a substantiated and or unsubstantiated Sexual abuse investigation the PREA Coordinator and Compliance Managers will ensure A SAIR is completed. Within 30 days of the findings.

(a) The Omaha Correctional Center PAQ states the facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded. In the past 12 months there have been 10 investigations of alleged sexual abuse completed within 30 days.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 31, section NN. 1-2., state,

1. “The facility shall conduct a Sexual Abuse Incident Review at the conclusion of every sexual assault/abuse investigation for all substantiated and unsubstantiated allegations. It is not necessary to complete Incident Reviews for occurrences for allegations of sexual harassment.

2. The facility PREA Management Team is responsible for conducting the incident review and shall ordinarily meet within 30 days of the final review and closing of the investigation. The facility PREA compliance manager will oversee the PREA Management Team, which will include, at a minimum, one person from each of the following:

- a. Upper-level management officials (i.e., Deputy, Associate or Assistant Warden; Major; Captain; Unit Administrator)
- b. Line supervisors (i.e., Lieutenant, Sergeant, Unit Manager, Unit Case Manager)
- c. Investigative staff (i.e., Intelligence supervisor or other Intelligence staff)

	<p>d. Medical or mental health staff</p> <p>Specific operational procedures regarding the PREA Management Teams are detailed in facility written Procedures.”</p> <p>(b) The Omaha Correctional Center PAQ states sexual abuse incident reviews are ordinarily conducted within 30 days of concluding the criminal or administrative investigation. In the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility were followed by a sexual abuse incident review within 30 days, excluding only “unfounded” incidents were eight. Policy compliance can be found in provision (a) of this standard.</p> <p>(c) The Omaha Correctional Center PAQ states the sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners. Policy compliance can be found in provision (a) of this standard.</p> <p>(d) The Omaha Correctional Center PAQ states the facility prepares a report of its findings from sexual abuse incident reviews, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1) -(d)(5) of this section, and any recommendations for improvement and submits such report to the facility head and PREA Compliance Manager.</p> <p>(e) The Omaha Correctional Center PAQ states, the facility implements recommendations for improvement or documents its reasons for not doing so.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.87	Data collection
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Document Review:</p> <p>1. Omaha Correctional Center PAQ</p>

2. Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024

3. Survey of Sexual Victimization, 2023

Interviews:

1. Captain / PREA Coordinator

The interview with the PREA Coordinator demonstrated each facility PREA Compliance Manager provides her with annual sexual harassment and sexual abuse investigation numbers which are then aggregated across the agency for review and collection for the agency annual report.

(a) The Omaha Correctional Center PAQ states the agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 32, section OO. 1-3, states, "NDCS shall collect accurate, uniform data for every allegation of sexual assault/abuse at all facilities using a standardized instrument and set of definitions. This data shall be aggregated at least annually.

1. The incident-based data collected shall include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the DOJ, which is completed by the captain/PREA coordinator.

2. NDCS shall maintain, review and collect data as needed from all available incident based documents, including reports, investigation files and Sexual Assault/ Abuse Incident Reviews.

3. Upon request, NDCS shall provide all such data from the previous calendar year to the DOJ."

(b) The Omaha Correctional Center PAQ states the agency aggregates incident-based sexual abuse data at least annually. Policy compliance can be found in provision (a) of this standard.

(c) The Omaha Correctional Center PAQ states the standardized instrument includes, at a minimum, the data necessary to answer all questions from the most

	<p>recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice.</p> <p>The facility provided a Survey of Sexual Victimization as their data collection.</p> <p>(d) The Omaha Correctional Center PAQ states the agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.</p> <p>(e) The Omaha Correctional Center PAQ states the agency does obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates as the agency does not have private contracts.</p> <p>(f) The Omaha Correctional Center PAQ states the Department of Justice has requested agency data for the previous calendar year.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.88	Data review for corrective action
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Nebraska Department of Correctional Services Annual PREA Assessment 2023 <p>Interviews:</p> <ol style="list-style-type: none"> Captain / PREA Coordinator Deputy Director of Prisons Operations / Agency Head

The interview with both the PREA Coordinator and the Agency Head demonstrated each allegation of sexual harassment and sexual abuse is reviewed at the facility and agency level, at the time of the incident. In addition, recommendations provided for corrective action are reviewed to ensure implementation takes place at the facility and agency level if appropriate.

(a) The Omaha Correctional Center PAQ states the agency reviews data collected and aggregated pursuant to §115.87 to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, and training, including:

- Identifying problem areas;
- Taking corrective action on an ongoing basis; and
- Preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.

Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 33-34, section PP., states, "NDCS shall review data in order to assess and improve the effectiveness of NDCS's sexual assault/abuse prevention, detection and response policies, practices and training by:

- a. Identifying problem areas
- b. Taking corrective action on an ongoing basis
- c. Preparing an annual report of its findings and corrective actions for each facility and NDCS as a whole.
 1. These reports shall include a comparison of three years and corrective actions with those from prior years and shall provide an assessment of NDCS's progress in addressing sexual assault/abuse.
 2. The reports shall be approved by the director/designee and made readily available to the public through its website.
 3. NDCS may redact specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility but must indicate the nature of the material redacted."

(b) The Omaha Correctional Center PAQ states the annual report includes a comparison of the current year's data and corrective actions to those from prior years. The annual report provides an assessment of the agency's progress in addressing sexual abuse.

The facility provided the Nebraska Department of Correctional Services Annual PREA Assessment 2023. The report compares the following data from years 2014 through 2022.

- Introduction of PREA
 - o Nebraska Department of Correctional Services Zero Tolerance Policy
 - o Reporting options for inmates
 - o PREA orientation education provided to inmates
- Assessment of NDCS's Progress
- Goals for 2024
- Facility Audit Schedule
- PREA Investigations to include:
 - o Total investigations for 2023
 - o Staff Perpetrated Sexual Assault
 - o Staff Perpetrated Sexual Abuse
 - o Staff Perpetrated Exhibitionism
 - o Staff Perpetrated Voyeurism
 - o Staff Perpetrated Sexual Abuse
 - o Inmate Perpetrated Sexual Assault
 - o Inmate Perpetrated Sexual Abuse
 - o Inmate Perpetrated Sexual Harassment
 - o County Attorney Referrals
- Victim Advocacy
- Supervision and Monitoring
- Nebraska Statewide Coordinated Response Team
 - o Vision
 - o Mission

	<p>o CRT members</p> <p>The annual report is signed by the PREA Coordinator, Assistant Deputy Director of Intelligence</p> <p>Deputy Director of Prisons, Deputy Director of Programs, Assistant Director and the Director.</p> <p>(c) The Omaha Correctional Center PAQ states the agency makes its annual report readily available to the public, at least annually, through its website at https://corrections.nebraska.gov/about/prison-rape-elimination-act-0.</p> <p>(d) The Omaha Correctional Center PAQ states when the agency redacts material from an annual report for publication, the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and security of the facility. The agency indicates the nature of material redacted.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.89	Data storage, publication, and destruction
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>Document Review:</p> <ol style="list-style-type: none"> Omaha Correctional Center PAQ Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, dated 7.31.2024 Schedule 92, Nebraska Department of Correctional Services, dated 12.12.2011 <p>(a) The Omaha Correctional Center PAQ states the agency ensures that incident-based and aggregate data are securely retained.</p> <p>Nebraska Department of Correctional Services, Sexual Assault/Abuse Policy 203.11, page 34, section QQ., states, "The data collected shall be securely retained. All aggregated sexual assault/abuse data shall be made readily available to the public at</p>

	<p>least annually through the agency’s website; all personal identifiers will be removed prior to making the information available to the public. The captain/PREA coordinator shall be responsible for collection and retention of said data. NDCS shall maintain sexual assault/abuse data collected for at least 10 years after the date of the initial collection unless Federal, State or local law requires otherwise.”</p> <p>The facility provided a Schedule 92, Nebraska Department of Correctional Services demonstrating request for approval of records retention and a disposition schedule has been requested and documented.</p> <p>(b) The Omaha Correctional Center PAQ states agency policy requires that aggregated sexual abuse data from facilities under its direct control and private facilities with which it contracts be made readily available to the public at least annually through its website at website at https://corrections.nebraska.gov/about/p-rison-rape-elimination-act-0.</p> <p>(c/d) The Omaha Correctional Center PAQ states before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers. The agency maintains sexual abuse data collected pursuant to §115.87 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise. Policy compliance can be found in provision (a) of this standard.</p> <p>Through such reviews, the facility meets the standard requirements.</p>
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115.401	Frequency and scope of audits
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>(a) During the prior three-year audit period, the agency ensured that each facility operated was audited, once.</p> <p>(b) This is the fourth audit cycle for Omaha Correctional Center and the third year of the fourth audit cycle.</p> <p>(h) The Auditor was granted complete access to, and the ability to observe, all</p>

	<p>areas of the facility.</p> <p>(i) The Auditor was permitted to request and receive copies of any relevant documents (including electronically stored information).</p> <p>(m) The Auditor was permitted to conduct private interviews with residents.</p> <p>(n) Residents were permitted to send confidential information or correspondence to the Auditor in the same manner as if they were communicating with legal counsel.</p>
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115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>(b) The agency has posted the current 2022 PREA audit report on their website.</p> <p>Through such reviews, the facility meets the standard requirements.</p>

Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes
115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	yes

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	yes
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	yes
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	yes

	facility does not have female inmates.)	
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	yes
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who	yes

	may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b) Hiring and promotion decisions		
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c) Hiring and promotion decisions		
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d) Hiring and promotion decisions		
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	

	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	yes
115.22 (a)	Policies to ensure referrals of allegations for investigations	

	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes

	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	

	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes

	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and	yes

	Garrrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or	yes

	suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na
115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective	yes

	screening instrument?	
115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)	yes

	Whether the inmate is detained solely for civil immigration purposes?	
115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive	yes

	information is not exploited to the inmate's detriment by staff or other inmates?	
115.42 (a) Use of screening information		
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b) Use of screening information		
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c) Use of screening information		
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would	yes

	present management or security problems?	
115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing	yes

	solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)	
115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
115.43 (c)	Protective Custody	

	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d) Protective Custody		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e) Protective Custody		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a) Inmate reporting		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b) Inmate reporting		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain	yes

	anonymous upon request?	
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from	yes

	this standard.)	
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes
115.52 (f)	Exhaustion of administrative remedies	

	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes
115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,	na

	including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual	yes

	abuse or sexual harassment or retaliation?	
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in	yes

	response to an incident of sexual abuse?	
115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of	yes

	sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations	yes

	of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes

	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes
115.73 (c)	Reporting to inmates	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually	yes

	abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes

	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish	yes

	evidence sufficient to substantiate the allegation?	
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	yes
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	yes
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior	yes

	sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	
115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	Ongoing medical and mental health care for sexual abuse	

	victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes

115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	yes
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant	yes

	to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	
115.88 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	

	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	yes
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403	Audit contents and findings	

(f)			
	<table><tr><td data-bbox="316 174 1289 568">The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</td><td data-bbox="1289 174 1490 568">yes</td></tr></table>	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes
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